

# $\text{Pin}^-(2)$ -monopole equations and intersection forms with local coefficients of 4-manifolds

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## Introduction

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### $\text{Pin}^-(2)$ -monopole equations

$\text{Spin}^{c-}$ -structures

$\text{Pin}^-(2)$ -monopole equations

### Proof of Theorem 1 & 2

Proof of Theorem 1

Proof of Theorem 2

- ▶ Let  $X$  be a closed oriented 4-manifold.

### Topological invariants for $X$

- ▶  $\pi_1 X$ , cohomology ring,  $k$ -invariants...

### Intersection form

$$Q_X : H^2(X; \mathbb{Z})/\text{torsion} \times H^2(X; \mathbb{Z})/\text{torsion} \rightarrow \mathbb{Z},$$

$$(a, b) \mapsto \langle a \cup b, [X] \rangle.$$

- ▶  $Q_X$  is a symmetric bilinear unimodular form.

### [J.H.C.Whitehead '49]

If  $\pi_1 X = 1$ , the homotopy type of  $X$  is determined by the isomorphism class of  $Q_X$ .

## In 4-dim. TOP

### $\pi_1 X = 1$

#### [Freedman '82]

The homeo type of  $X$  is determined by

- ▶ the iso. class of  $Q_X$  if  $Q_X$  is even,
- ▶ the iso. class of  $Q_X$  &  $\text{ks}(X)$  if  $Q_X$  is odd.

### $\pi_1 X \neq 1$

If  $\pi_1 X$  is "Good"  $\Rightarrow$  Freedman theory + Surgery theory.  
 $\rightarrow$  **Difficult.**

## In 4-dim. DIFF

- ▶ Let  $X$  be a closed oriented smooth 4-manifold.

[Rohlin] If  $X$  is spin  $\Rightarrow \text{sign}(X) \equiv 0 \pmod{16}$ .

[Donaldson] If  $Q_X$  is definite  $\Rightarrow Q_X \sim$  The diagonal form.

[Furuta] If  $X$  is spin &  $Q_X$  is indefinite, then

$$b_2(X) \geq \frac{10}{8} |\text{sign}(X)| + 2.$$

## Refinements, variants

[Furuta-Kametani '05]

The strong 10/8-inequality in the case when  $b_1(X) > 0$ .

[Froyshov '10]

A local coefficient analogue of Donaldson's theorem.

local coefficients  $\leftrightarrow$  double coverings  $\leftrightarrow H^1(X; \mathbb{Z}/2)$

## Froyshov's results

4-manifolds and intersection forms with local coefficients, arXiv:1004.0077

- ▶ Suppose a double covering  $\tilde{X} \rightarrow X$  is given.
- ▶  $l := \tilde{X} \times_{\mathbb{Z}_2} \mathbb{Z}$ , a  $\mathbb{Z}$ -bundle over  $X$ .  
 $\longrightarrow H^*(X; l)$ :  $l$ -coefficient cohomology.
- ▶ Note  $l \otimes l = \mathbb{Z}$ . The cup product

$$\cup: H^2(X; l) \times H^2(X; l) \rightarrow H^4(X; \mathbb{Z}) \cong \mathbb{Z},$$

induces the intersection form with local coefficient

$$Q_{X,l}: H^2(X; l)/\text{torsion} \times H^2(X; l)/\text{torsion} \rightarrow \mathbb{Z}.$$

- ▶  $Q_{X,l}$  is also a symmetric bilinear unimodular form.

### A special case of Froyshov's theorem

- ▶  $X$ : a closed connected oriented smooth 4-manifold s.t.

$$b^+(X) + \dim_{\mathbb{Z}/2}(\text{tor}H_1(X; \mathbb{Z}) \otimes \mathbb{Z}/2) \leq 2. \quad (1)$$

- ▶  $l \rightarrow X$ : a nontrivial  $\mathbb{Z}$ -bundle.

If  $Q_{X,l}$  is definite  $\Rightarrow Q_{X,l} \sim$  diagonal.

- ▶ The original form of Froyshov's theorem is:

If  $X$  with  $\partial X = Y : \mathbb{Z}HS^3$  satisfies (1)  
 &  $Q_{X,l}$  is nonstandard definite  
 $\Rightarrow \delta_0: HF^4(Y; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$  is non-zero.

- ▶  $Y = S^3 \Rightarrow HF^4(Y; \mathbb{Z}/2) = 0 \Rightarrow$  The above result.

- ▶ The proof uses the moduli space of  $\text{SO}(3)$ -instantons on a  $\text{SO}(3)$ -bundle  $V$ .
- ▶ **Twisted reducibles** (stabilizer  $\cong \mathbb{Z}/2$ ) play an important role.  $V$  is reduced to  $\lambda \oplus E$ , where  $E$  is an  $\text{O}(2)$ -bundle,  $\lambda = \det E$ : nontrivial.

*Cf* [Fintushel-Stern'84] gives an alternative proof of Donaldson's theorem by using  $\text{SO}(3)$ -instantons.

→ Abelian reducibles (stabilizer  $\cong \text{U}(1)$ )

$V$  is reduced to  $\mathbb{R} \oplus L$ , where  $L$  is a  $\text{U}(1)$ -bundle.

- Donaldson's theorem is proved by Seiberg-Witten theory, too.

### Question

Can we prove Froyshov's result by Seiberg-Witten theory?

→ Our result would be an answer.

## Main results

### Theorem 1.(N.)

- ▶  $X$ : a closed connected ori. smooth 4-manifold.
- ▶  $l \rightarrow X$ : a nontrivial  $\mathbb{Z}$ -bdl. s.t.  $w_1(\lambda)^2 = 0$ , where  $\lambda = l \otimes \mathbb{R}$ .

If  $Q_{X,l}$  is definite  $\Rightarrow Q_{X,l} \sim$  diagonal.

### *Cf.* Froyshov's theorem

- ▶  $X$ : — s.t.  $b^+(X) + \dim_{\mathbb{Z}/2}(\text{tor}H_1(X; \mathbb{Z}) \otimes \mathbb{Z}/2) \leq 2$ .
- ▶  $l \rightarrow X$ : a nontrivial  $\mathbb{Z}$ -bundle.

If  $Q_{X,l}$  is definite  $\Rightarrow Q_{X,l} \sim$  diagonal.

## Main results

### Theorem 1.(N.)

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If  $Q_{X,l}$  is definite  $\Rightarrow Q_{X,l} \sim$  diagonal.

- ▶ For the proof, we will introduce a variant of Seiberg-Witten equations  
 $\longrightarrow$   $\text{Pin}^-(2)$ -monopole equations on  $\text{Spin}^{c-}$ -structures on  $X$ .
- ▶  $\text{Spin}^{c-}$ -structure is a  $\text{Pin}^-(2)$ -variant of  $\text{Spin}^c$ -str. defined by M.Furuta, whose complex structure is "twisted along  $l$ ".

- ▶ The moduli space of  $\text{Pin}^-(2)$ -monopoles is **compact**.  
 $\longrightarrow$  **Bauer-Furuta theory can be developed.**

### Furuta's theorem

Let  $X$  be a closed ori. smooth **spin** 4-manifold with indefinite  $Q_X$ .

$$b_+(X) \geq -\frac{\text{sign}(X)}{8} + 1.$$

### Theorem 2(N.)

Let  $X$  be a closed connected ori. smooth 4-manifold. For any nontrivial  $\mathbb{Z}$ -bundle  $l \rightarrow X$  s.t.  $w_1(\lambda)^2 = w_2(X)$ , where  $\lambda = l \otimes \mathbb{R}$ ,

$$b_+(X; \lambda) \geq -\frac{\text{sign}(X)}{8},$$

where  $b_+(X; \lambda) = \text{rank } H^+(X; \lambda)$ .

## Applications

Recall fundamental theorems.

1. [Rohlin]  $X^4$ : closed spin  $\Rightarrow \text{sign}(X) \equiv 0 \pmod{16}$ .
2. [Donaldson] Definite  $\Rightarrow$  diagonal.
3. [Furuta] The 10/8-inequality
- 3' [Furuta-Kametani] The strong 10/8-inequality in the case when  $b_1 > 0$ .

### Corollary 1(N.)

- $\exists$  Nonsmoothable closed indefinite spin 4-manifolds satisfying
- ▶  $\text{sign}(X) \equiv 0 \pmod{16}$ ,
  - ▶ the strong 10/8-inequality.

## Proof

- ▶ Let  $M$  be  $T^4$  or  $T^2 \times S^2$ .  $\Rightarrow Q_{T^4} = 3H, Q_{T^2 \times S^2} = H$ .
- ▶ If  $l' \rightarrow M$  is any nontrivial  $\mathbb{Z}$ -bundle,  
 $\Rightarrow b_2(M; l') = 0$  &  $w_1(l' \otimes \mathbb{R})^2 = 0$ .
- ▶ Let  $V$  be a topological 4-manifold s.t.  $\pi_1 V = 1$ ,  $Q_V$  is even and definite,  $\text{sign}(V) \equiv 0 \pmod{16}$ . ( $\Rightarrow V$  is spin.)
- ▶ Choose a large  $k$  s.t.  $X = V \# kM$  satisfies the strong 10/8-inequality.
- ▶ Let  $l := \mathbb{Z} \# k l' \rightarrow X$ .  $\Rightarrow Q_{X,l} = Q_V, w_1(l \otimes \mathbb{R})^2 = 0$ .
- ▶ Suppose  $X$  is smooth. By Theorem 1,  
 $Q_{X,l} = Q_V \sim$  diagonal. **Contradiction.**

### Remark

Similar examples can be constructed by using Theorem 2.

## Non-spin manifolds

### 10/8-conjecture

Every **non-spin** closed smooth 4-manifold  $X$  with **even** form satisfies

$$b_2(X) \geq \frac{10}{8} |\text{sign}(X)|.$$

[Bohr, '02], [Lee-Li, '00]

If the 2-torsion part of  $H_1(X; \mathbb{Z})$  is  $\mathbb{Z}/2^i$  or  $\mathbb{Z}/2 \oplus \mathbb{Z}/2$   
 $\Rightarrow$  the 10/8-conjecture is true.

### Corollary 2(N.)

$\exists$  Nonsmoothable non-spin 4-manifolds  $X$  with even form s.t.

- ▶ the 2-torsion part of  $H_1(X; \mathbb{Z}) \cong \mathbb{Z}/2$ ,
- ▶ the 10/8-conjecture is true.

## The outline of the proof of Theorem 1

- ▶ The proof of Theorem 1 is almost parallel to the SW-proof of Donaldson's theorem.
- ▶ By using  $\text{Pin}^-(2)$ -monopole moduli, we will prove every characteristic element  $w$  of  $Q_{X,l}$  satisfies  
 $|w^2| \geq \text{rank } H^2(X; l). \leftrightarrow$  (The dim. of the moduli)  $\leq 0$
- ▶ Then Elkies' theorem implies  $Q_{X,l}$  should be standard.
  - An element  $w$  in a unimodular lattice  $L$  is called *characteristic* if  $w \cdot v \equiv v \cdot v \pmod{2}$  for  $\forall v \in L$ .

[Elkies '95]

If every characteristic element  $w \in L$  satisfies  $|w^2| \geq \text{rank } L$ , then  $L \cong$  diagonal.



## Pin<sup>-</sup>(2)-monopole equations

$$\text{Pin}^-(2) = \langle \text{U}(1), j \rangle = \text{U}(1) \cup j \text{U}(1) \subset \text{Sp}(1) \subset \mathbb{H}.$$

The two-to-one homomorphism  $\text{Pin}^-(2) \rightarrow \text{O}(2)$  is defined by

$$z \in \text{U}(1) \subset \text{Pin}^-(2) \mapsto z^2 \in \text{U}(1) \subset \text{O}(2),$$

$$j \mapsto \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

**Definition**  $\text{Spin}^{c-}(n) := \text{Spin}(n) \times_{\{\pm 1\}} \text{Pin}^-(2).$

$$1 \rightarrow \{\pm 1\} \rightarrow \text{Spin}^{c-}(n) \rightarrow \text{SO}(n) \times \text{O}(2) \rightarrow 1.$$

*Cf.*  $\text{Spin}^c(n) = \text{Spin}(n) \times_{\{\pm 1\}} \text{U}(1).$

## Spin<sup>c-</sup>-structures

- ▶ Let  $X$  be an oriented  $n$ -manifold.
- ▶ Fix a Riemannian metric.  
→  $F(X)$ : The  $\text{SO}(n)$ -frame bundle.
- ▶ Suppose an  $\text{O}(2)$ -bundle  $E$  over  $X$  is given.

### Spin<sup>c-</sup>-structure

A **Spin<sup>c-</sup>-structure** on  $(X, E)$  is given by  $(P, \tau)$  s.t.

- ▶  $P$ : a  $\text{Spin}^{c-}(n)$ -bundle over  $X$ ,
- ▶  $\tau: P/\{\pm 1\} \xrightarrow{\cong} F(X) \times_X E.$

### Proposition(Furuta '08)

$\exists \text{Spin}^{c-}$ -structure on  $(X, E) \Leftrightarrow w_2(X) = w_2(E) + w_1(E)^2.$

## The case when $n = 4$

- ▶  $\text{Spin}(4) = \text{Sp}(1) \times \text{Sp}(1)$ .
- ▶  $\text{Spin}^{c-}(4) = (\text{Sp}(1) \times \text{Sp}(1) \times \text{Pin}^-(2))/\{\pm 1\} \ni [q_+, q_-, u]$ .

### $\text{Spin}^{c-}(4)$ -modules $\mathbb{H}_T, \mathbb{H}_+$ and $\mathbb{H}_-$

- ▶  $\mathbb{H}_T, \mathbb{H}_+, \mathbb{H}_- \cong \mathbb{H}$  as vector spaces.
- ▶ The actions of  $[q_+, q_-, u] \in \text{Spin}^{c-}(4)$  are given by

$$\begin{aligned} \mathbb{H}_T \ni v &\mapsto q_+ v q_-^{-1} &\longrightarrow P \times_{\text{Spin}^{c-}(4)} \mathbb{H}_T &\cong TX \\ \mathbb{H}_\pm \ni \phi &\mapsto q_\pm \phi u^{-1} &\longrightarrow P \times_{\text{Spin}^{c-}(4)} \mathbb{H}_\pm &=: S^\pm \end{aligned}$$

$S^\pm$  are the positive/negative spinor bundles.

## The Clifford multiplication Define the $\text{Spin}^{c-}(4)$ -equivariant map

$$\begin{aligned} \rho_0: \mathbb{H}_T \times \mathbb{H}_+ &\rightarrow \mathbb{H}_-, (v, \phi) \mapsto \bar{v}\phi. \\ \longrightarrow \rho: \Omega^1(X) \times \Gamma(S^+) &\rightarrow \Gamma(S^-). \end{aligned}$$

### Twisted complex version

- ▶  $\text{Spin}^{c-}(4) = \text{Spin}(n) \times_{\{\pm 1\}} \text{Pin}^-(2)$  has two components.
- ▶ Let  $G_0 \subset \text{Spin}^{c-}(4)$  be the identity component.
- ▶ Let  $\varepsilon: \text{Spin}^{c-}(4) \rightarrow \text{Spin}^{c-}(4)/G_0 \cong \{\pm 1\}$  be the projection.  
 $\longrightarrow P \times_\varepsilon \mathbb{R} = \det E =: \lambda$
- ▶ Let  $\text{Spin}^{c-}(4)$  act on  $\mathbb{C}$  by complex conjugation via  $\varepsilon$ .
- ▶ Define the  $\text{Spin}^{c-}(4)$ -equivariant map,

$$\begin{aligned} \rho_0: \mathbb{H}_T \otimes_{\mathbb{R}} \mathbb{C} \times \mathbb{H}_+ &\rightarrow \mathbb{H}_-, (v \otimes a, \phi) \mapsto \bar{v}\phi\bar{a}. \\ \longrightarrow \rho: \Omega^1(\underline{\mathbb{R}} \oplus i\lambda) \times \Gamma(S^+) &\rightarrow \Gamma(S^-). \end{aligned}$$

## Dirac operator

An  $O(2)$ -connection  $A$  on  $E$  + Levi-Civita connection  
 $\rightarrow$  A  $\text{Spin}^{c-}(4)$ -connection  $\mathbb{A}$  on  $P$   
 $\rightarrow$  Dirac operator

$$D_A: \Gamma(S^+) \rightarrow \Gamma(S^-).$$

If  $A'$  is another  $O(2)$ -connection  $\Rightarrow a = A - A' \in \Omega^1(i\lambda)$ .

$$D_{A+a}\phi = D_A\phi + \rho(a)\phi.$$

## Quadratic map

Let  $x = [q_+, q_-, u] \in \text{Spin}^{c-}(4)$  act on  $\text{im } \mathbb{H}$  by

$$\text{im } \mathbb{H} \ni v \mapsto \varepsilon(x)q_+vq_+^{-1} \longrightarrow \Gamma(P \times_{\text{Spin}^{c-}(4)} \text{im } \mathbb{H}) \cong \Omega^+(i\lambda).$$

Then  $\phi \in \mathbb{H}_+ \mapsto \phi i \bar{\phi} \in \text{im } \mathbb{H}$  is  $\text{Spin}^{c-}(4)$ -equivariant. We obtain

$$q: \Gamma(S^+) \rightarrow \Omega^+(i\lambda).$$

## $\text{Pin}^-(2)$ -monopole equations

Let  $\mathcal{A}$  be the space of  $O(2)$ -connections on  $E$ .

For  $(A, \phi) \in \mathcal{A} \times \Gamma(S^+)$ ,  **$\text{Pin}^-(2)$ -monopole equations** are defined by

$$\begin{cases} D_A\phi = 0, \\ F_A^+ = q(\phi). \end{cases}$$

## Relation to Seiberg-Witten theory

- ▶ Spin<sup>c-</sup>(4) = Spin(4) ×<sub>{±1}</sub> Pin<sup>-</sup>(2) has two component.
- ▶ The identity compo.  $G_0 = \text{Spin}(4) \times_{\{\pm 1\}} \text{U}(1) = \text{Spin}^c(4)$ .
- ▶ Spin<sup>c-</sup>(4)/G<sub>0</sub> = ℤ/2.
- ▶ Let (P, τ) be a Spin<sup>c-</sup>-structure on (X, E).
- ▶  $\tilde{X} = P/G_0 \rightarrow X$  is a double covering s.t.

$$\lambda := \tilde{X} \times_{\{\pm 1\}} \mathbb{R} \cong \det E.$$

- ▶  $P \rightarrow \tilde{X}$  is a  $G_0 = \text{Spin}^c(4)$ -bundle.

$$\begin{array}{ccc} P & \xlongequal{\quad} & P \curvearrowright J \\ \downarrow \text{Spin}^{c-}(4) & & \downarrow G_0 = \text{Spin}^c(4) \\ X & \xleftarrow[2:1]{} & P/G_0 = \tilde{X} \curvearrowright \iota \end{array}$$

- ▶  $\iota: \tilde{X} \rightarrow \tilde{X}$ , the covering transformation.
- ▶  $J = [1, 1, j] \in (\text{Sp}(1) \times \text{Sp}(1) \times \text{Pin}^-(2))/\{\pm 1\} = \text{Spin}^{c-}(4)$
- ▶ The Spin<sup>c-</sup>-structure  $c$  on  $\tilde{X}$  is induced from  $P \rightarrow \tilde{X}$ .
- ▶ The  $J$ -action induces **antilinear** involutions  $I$  on the spinor bundles and the determinant line bundle of  $c$ .

Pin<sup>-</sup>(2)-monopole theory on  $X = I$ -invariant SW theory on  $\tilde{X}$ .

## Gauge transformation group

$$\mathcal{G} := \{ \text{Spin}^{c-}(4)\text{-equiv. diffeos of } P \text{ covering the id. of } P / \text{Pin}^-(2) \} \\ \cong \Gamma(P \times_{\text{ad}} \text{Pin}^-(2)),$$

where “ad” is the adjoint action on  $\text{Pin}^-(2)$  by  $\text{Pin}^-(2)$ -compo. of  $\text{Spin}^{c-}(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2)$ .

$g \in \mathcal{G}$  acts on  $(A, \phi) \in \mathcal{A} \times \Gamma(S^+)$  by  $g(A, \phi) = (A - 2g^{-1}dg, g\phi)$ .

*Cf. In the SW-case,  $\mathcal{G}_{SW} = \text{Map}(X, S^1)$ .*

The moduli space  $\mathcal{M} = \{ \text{solutions} \} / \mathcal{G}$ .

What is  $\mathcal{G} = \Gamma(P \times_{\text{ad}} \text{Pin}^-(2))$ ?

►  $\text{Pin}^-(2) = \text{U}(1) \cup j\text{U}(1)$ .

$$\begin{aligned} \text{For } u, z \in \text{U}(1), \quad \text{ad}_z(u) &= zu\bar{z} = u, \\ \text{ad}_{jz}(u) &= jzu\bar{z}(-j) = \bar{u}, \\ \text{ad}_z(ju) &= z^2ju, \\ \text{ad}_{jz}(ju) &= \bar{z}^2j\bar{u}. \\ \Rightarrow \mathcal{G} &= \mathcal{G}_0 \cup \mathcal{G}_1, \quad \mathcal{G}_0 = \Gamma(P \times_{\text{ad}} \text{U}(1)), \\ & \quad \mathcal{G}_1 = \Gamma(P \times_{\text{ad}} j\text{U}(1)). \end{aligned}$$

► Note  $\mathcal{G}_0 \cong \Gamma(\tilde{X} \times_{\{\pm 1\}} \text{U}(1))$ , where  $\{\pm 1\}$  acts on  $\text{U}(1)$  by complex conjugation.

Define the involution  $I$  on  $\mathcal{G}_{SW} = \text{Map}(\tilde{X}; S^1)$  by  $Ig = \overline{\iota^*g}$ , where  $\iota: \tilde{X} \rightarrow \tilde{X}$  the covering transformation.  $\Rightarrow \mathcal{G}_0 = (\mathcal{G}_{SW})^I$ .

**Proposition**  $\mathcal{G}_1 = \Gamma(P \times_{\text{ad}} j\text{U}(1)) \neq \emptyset \Leftrightarrow \tilde{c}_1(E) = 0$ .

- ▶  $\tilde{c}_1(E)$  is the Euler class considered in  $H^2(X; l)$ , where  $l$  is the sub- $\mathbb{Z}$ -bundle of  $\lambda = \det E$ .  
Froyshov calls  $\tilde{c}_1(E)$  the *twisted 1st Chern class*.
- ▶ The iso. classes of  $\text{O}(2)$ -bundle  $E$  over  $X$  s.t.  $\det E \cong \lambda$  are classified by  $\tilde{c}_1(E) \in H^2(X; l)$ . ← Proved by Froyshov.
- ▶  $\tilde{c}_1(E) = 0 \Leftrightarrow E \cong \underline{\mathbb{R}} \oplus \lambda$ .
- ▶ Since  $\text{ad}_z(ju) = z^2ju$  &  $\text{ad}_{jz}(ju) = \bar{z}^2j\bar{u}$ ,

$P \times_{\text{ad}} j\text{U}(1) \cong S(E)$  : The bundle of unit vectors of  $E$ .

## The moduli space

$$\mathcal{M} = \{ \text{solutions} \} / \mathcal{G},$$

$$\mathcal{M}_0 = \{ \text{solutions} \} / \mathcal{G}_0.$$

Note  $\tilde{c}_1(E) \neq 0 \Rightarrow \mathcal{G} = \mathcal{G}_0 \Rightarrow \mathcal{M} = \mathcal{M}_0$ .

## Proposition

- ▶  $\mathcal{M}$  is compact.
- ▶ The virtual dimension of  $\mathcal{M}$ :

$$d = \frac{1}{4}(\tilde{c}_1(E)^2 - \text{sign}(X)) - (b_0(X; l) - b_1(X; l) + b_+(X; l)).$$

If  $l$  is nontrivial &  $X$  connected  $\Rightarrow b_0(X; l) = 0$ .

## Reducibles

- ▶ Recall  $g(A, \phi) = (A - 2g^{-1}dg, g\phi)$ .
- ▶ If  $\phi \neq 0 \Rightarrow \mathcal{G}$ -action is free.
- ▶ The stabilizer of  $(A, 0)$  is  $\{\pm 1\} \subset \mathcal{G}_0 \cong \Gamma(\tilde{X} \times_{\{\pm 1\}} \text{U}(1))$ ,  
unless  $E = \underline{\mathbb{R}} \oplus \lambda$  and  $A$  is flat ( $\Rightarrow$  The stabilizer  $\cong \mathbb{Z}/4$ ).
- ▶ The elements of the form  $(A, 0)$  are called **reducibles**.

*Cf.* In the SW-case, the stabilizer of  $(A, 0)$  is  $S^1 \subset \text{Map}(X, S^1)$ .

- ▶ In general,  $\{ \text{reducible solutions} \} / \mathcal{G}_0 \cong T^{b_1(X;l)} \subset \mathcal{M}_0$ .

## Proof of Theorem 1

### Theorem 1.(N.)

- ▶  $X$ : a closed connected ori. smooth 4-manifold.
- ▶  $l \rightarrow X$ : a nontrivial  $\mathbb{Z}$ -bdl. s.t.  $w_1(\lambda)^2 = 0$ , where  $\lambda = l \otimes \mathbb{R}$ .

If  $Q_{X,l}$  is definite  $\Rightarrow Q_{X,l} \sim$  diagonal.

### Outline of the proof

- ▶ We will prove every characteristic element  $w$  of  $Q_{X,l}$  satisfies

$$|w^2| \geq \text{rank } H^2(X; l),$$

by proving for every  $E$ ,

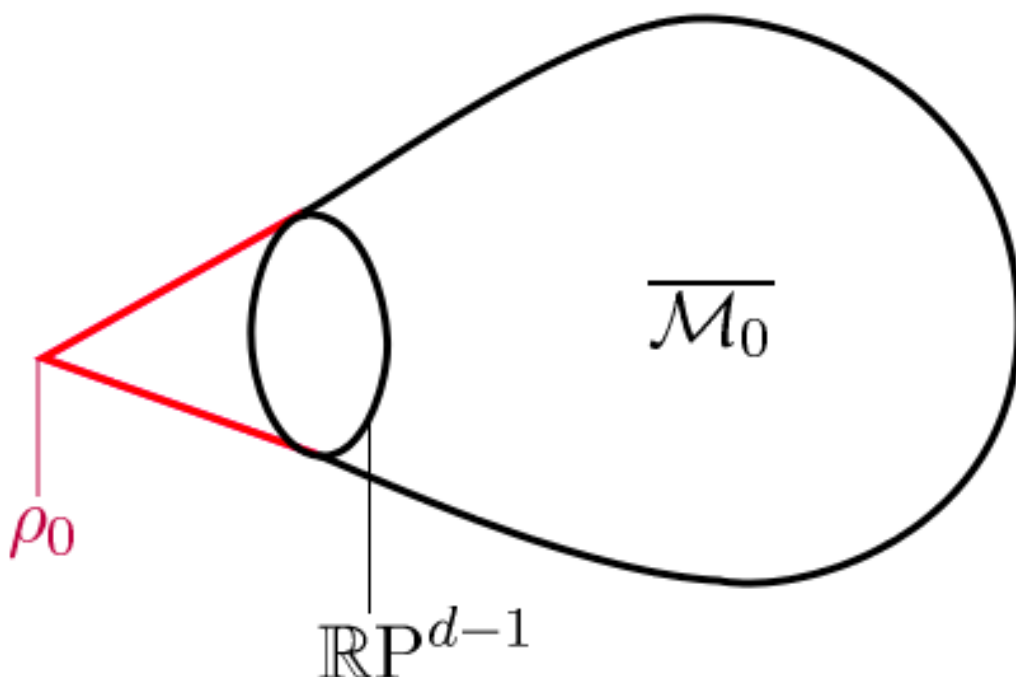
$$d = \dim \mathcal{M}_0 \leq 0.$$

- ▶ Then Elkies' theorem implies  $Q_{X,l}$  should be standard.

## The structure of $\mathcal{M}_0$ when $b_+(X; l) = 0$

- ▶ Suppose a  $\text{Spin}^c$ -structure  $(P, \tau)$  on  $X$  is given.
- ▶ For simplicity, assume  $b_1(X, l) = 0$ .  
 $\Rightarrow \exists^1$  reducible class  $\rho_0 \in \mathcal{M}_0$ .
- ▶ Perturb the  $\text{Pin}^-(2)$ -monopole equations by adding  $\eta \in \Omega^+(i\lambda)$  to the curvature equation.  $\rightarrow F_A^+ = q(\phi) + \eta$ .
- ▶ For generic  $\eta$ ,  $\mathcal{M}_0 \setminus \{\rho_0\}$  is a  $d$ -dimensional manifold.
- ▶ Fix a small neighborhood  $N(\rho_0)$  of  $\{\rho_0\}$ .  
 $\Rightarrow N(\rho_0) \cong \mathbb{R}^d / \{\pm 1\} =$  a cone of  $\mathbb{R}P^{d-1}$

Then  $\overline{\mathcal{M}}_0 := \overline{\mathcal{M}_0 \setminus N(\rho_0)}$  is a compact  $d$ -manifold &  
 $\partial \overline{\mathcal{M}}_0 = \mathbb{R}P^{d-1}$ .





► Let  $\mathcal{B}^* = (\mathcal{A} \times (\Gamma(S^+) \setminus \{0\})) / \mathcal{G}_0$ .

**Proposition**  $\mathcal{B}^* \underset{h.e.}{\simeq} \mathbb{R}P^\infty \times T^{b_1(X;l)}$ .

*Cf.* In the SW-case,  $\mathcal{B}_{SW}^* \underset{h.e.}{\simeq} \mathbb{C}P^\infty \times T^{b_1(X)}$ .  $\mathcal{B}^* \cong (\mathcal{B}_{SW}^*)^I$ .

**Lemma**

If  $b_+(X;l) = 0$  &  $b_1(X;l) = 0 \Rightarrow d = \dim \mathcal{M}_0 \leq 0$ .

**Proof**

- Suppose  $d > 0$ .
- Recall  $\overline{\mathcal{M}_0}$  is a compact  $d$ -manifold s.t.  $\partial \overline{\mathcal{M}_0} = \mathbb{R}P^{d-1}$ .
- $\exists C \in H^{d-1}(\mathcal{B}^*; \mathbb{Z}/2) \cong H^{d-1}(\mathbb{R}P^\infty; \mathbb{Z}/2)$  s.t.  $\langle C, [\partial \overline{\mathcal{M}_0}] \rangle \neq 0. \Rightarrow$  **Contradiction.**

- Note  $\text{sign}(X) = b_+(X;l) - b_-(X;l)$  for any  $\mathbb{Z}$ -bundle  $l$ .
- By Lemma, if  $l$  is nontrivial &  $b_+(X;l) = 0$  &  $b_1(X;l) = 0$ ,

$$\begin{aligned} d &= \frac{1}{4}(\tilde{c}_1(E)^2 - \text{sign}(X)) - (b_0(X;l) - b_1(X;l) + b_+(X;l)) \\ &= \frac{1}{4}(\tilde{c}_1(E)^2 + b_2(X;l)) \leq 0. \end{aligned}$$

*Note*  $\tilde{c}_1(E)^2 \leq 0$  if  $b_+(X;l) = 0$ .

- Therefore, for any  $E$  which admits a  $\text{Spin}^{c-}$ -structure,

$$b_2(X;l) \leq |\tilde{c}_1(E)^2|.$$

By varying  $E$ , we can prove every characteristic element  $w$  satisfies

$$b_2(X;l) \leq |w^2|.$$

## Recall

- ▶  $E$  admits a Spin<sup>c-</sup>-structure  
 $\Leftrightarrow w_2(X) = w_2(E) + w_1(E)^2 = w_2(E) + w_1(\lambda)^2$ ,  
 where  $\lambda = \det E = l \otimes \mathbb{R}$ .
- ▶  $\tilde{c}_1(E) \in H^2(X; l)$  classifies  $E$  s.t.  $\det E = l \otimes \mathbb{R}$ .

Note that  $0 \rightarrow l \xrightarrow{\cdot 2} l \rightarrow \mathbb{Z}/2 \rightarrow 0$  induces the mod-2-reduction map  $[\cdot]_2: H^2(X; l) \rightarrow H^2(X; \mathbb{Z}/2)$  &  $[\tilde{c}_1(E)]_2 = w_2(E)$ . We have,

## Theorem

Suppose  $w_1(\lambda)^2 = 0$ . For every  $C \in H^2(X; l)$  s.t.  
 $w_2(X) = [C]_2 + w_1(\lambda)^2 = [C]_2$ ,

$$|C^2| \geq b_2(X; l).$$

## Lemma

For every characteristic element  $c$  of  $Q_{X,l}$ ,  $\exists$  a torsion  $\delta \in H^2(X; l)$  s.t.  $[c + \delta]_2 = w_2(X)$ .

Then, for  $\forall$  characteristic element  $c$  of  $Q_{X,l}$

$$|c^2| = |(c + \delta)^2| \geq b_2(X; l).$$

By Elkies' theorem,  $Q_{X,l} \sim$ diagonal.

## The outline of the proof of Theorem 2

- ▶ Suppose  $w_1(\lambda)^2 = w_2(X)$ . Let  $E = \underline{\mathbb{R}} \oplus \lambda$ .  
 $\Rightarrow \exists \text{Spin}^{c^-}$ -structure on  $(X, E)$ .  $\Rightarrow \mathcal{G}_1 \neq \emptyset$ .
- ▶ For simplicity, assume  $b_1(X; l) = 0$ .
- ▶ Then, by taking finite dimensional approximation of the monopole map, we obtain a proper  $\mathbb{Z}_4$ -equivariant map

$$f: \tilde{\mathbb{R}}^m \oplus \mathbb{C}_1^{n+k} \rightarrow \tilde{\mathbb{R}}^{m+b} \oplus \mathbb{C}_1^n,$$

where

- ▶  $\tilde{\mathbb{R}}$  is  $\mathbb{R}$  on which  $\mathbb{Z}_4$  acts via  $\mathbb{Z}_4 \rightarrow \mathbb{Z}_2 = \{\pm 1\} \curvearrowright \mathbb{R}$ ,
- ▶  $\mathbb{C}_1$  is  $\mathbb{C}$  on which  $\mathbb{Z}_4$  acts by multiplication of  $i$ ,
- ▶  $k = -\text{sign}(X)/8$ ,  $b = b_+(X; \lambda)$ ,  $m, n$  are some integers.

Here,  $\mathbb{Z}_4$  is generated by the constant section

$$j \in \mathcal{G}_1 = \Gamma(\tilde{X} \times_{\{\pm 1\}} j \text{U}(1)).$$

- ▶ By using the techniques of equivariant homotopy theory, e.g., tom Dieck's character formula, we can see that any proper  $\mathbb{Z}_4$ -map of the form,

$$f: \tilde{\mathbb{R}}^m \oplus \mathbb{C}_1^{n+k} \rightarrow \tilde{\mathbb{R}}^{m+b} \oplus \mathbb{C}_1^n,$$

should satisfy  $b \geq k$ .

- ▶ That is,

$$b_+(X; \lambda) \geq -\frac{1}{8} \text{sign}(X).$$

## Finite dimensional approximation

- ▶ Take a flat connection  $A_0$  on  $\underline{\mathbb{R}} \oplus \lambda$ .

### Pin<sup>-</sup>(2)-monopole map

$$\mu: \Omega^1(i\lambda) \oplus \Gamma(S^+) \rightarrow (\Omega^0 \oplus \Omega^+)(i\lambda) \oplus \Gamma(S^-) =: \mathcal{W},$$

$$(a, \phi) \mapsto (d^*a, F_{A_0} + d^+a + q(\phi), D_{A_0+a}\phi).$$

- ▶ Let  $l(a, \phi) := (d^*a, d^+a, D_{A_0}\phi)$  be the linear part of  $\mu$ .  
→  $l$  is Fredholm.
- ▶  $c = \mu - l$ : quadratic, compact.
- ▶ Choose a finite dim. subspace  $U \subset \mathcal{W}$  s.t.  $\dim U \gg 1$ ,  
 $U \supset (\text{im } l)^\perp$
- ▶ Let  $V := l^{-1}(U)$  &  $p: \mathcal{W} \rightarrow U$  be the  $L^2$ -projection.
- ▶ Define  $f: V \rightarrow U$  by  $f = l + pc$ . →  $f$ : proper,  $\mathbb{Z}_4$ -equiv.

## Remarks for future researches

- ▶ Pin<sup>-</sup>(2)-monopole invariants
  - ▶ Calculation, gluing formula, stable cohomotopy refinements
- ▶ Orbifolds with surface singularities
  - ▶ Exotic involutions Cf. [Fintushel-Stern-Snukujian]
  - ▶ Smooth inequivalent but topologically equivalent embedded surfaces Cf. [H.J.Kim-Ruberman]
- ▶ When  $\tilde{X}$ : symplectic &  $I^*\omega = -\omega$ ,  
Pin<sup>-</sup>(2)-monopole inv.  $\stackrel{??}{=}$  real Gromov-Witten inv.  
Cf. [Tian-Wang]
- ▶ Pin<sup>-</sup>(2)-monopole Floer theory?  
Pin<sup>-</sup>(2) Heegaard Floer theory?
- ▶ “Witten conjecture” for Pin<sup>-</sup>(2)-monopole invariants?
  - ▶ [Feehan-Leness] SW = Donaldson  
Pin<sup>-</sup>(2)-monopole inv. = ???