

Yamabe invariants and Pin⁻(2)-monopole equations

(Joint work with M. Ishida & S. Matsuo)

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Introduction

- ▶ M : closed oriented connected n -manifold ($n \geq 3$) ← always assumed
- ▶ g : Riemannian metric on M
- ▶ $s_g: M \rightarrow \mathbb{R}$: scalar curvature
- ▶ $[g] = \{ug \mid u: M \rightarrow \mathbb{R}^+\}$, conformal class of g
- ▶ $\mathcal{C}(M)$: the space of conformal classes

Yamabe invariant

$$\mathcal{Y}(M) = \sup_{[g] \in \mathcal{C}(M)} \inf_{h \in [g]} \frac{\int_M s_h d\mu_h}{V_h^{\frac{n-2}{n}}},$$

where $d\mu_h$ is the volume form of h , and $V_h = \int_M d\mu_h$.

In general, it is difficult to compute the exact values of the Yamabe invariants.

Theorem([LeBrun, '96, '99])

Let M be a compact minimal Kähler surface, $b_+ \geq 2$, $c_1^2(M) \geq 0$.
Then

$$\mathcal{Y}(M) = -4\sqrt{2}\pi\sqrt{c_1^2(M)}.$$

- ▶ The proof uses the Seiberg-Witten equations.
A key point is the nontriviality of the SW invariant.
- Note $c_1^2(M) = 2\chi(M) + 3\tau(M)$. χ : Euler, τ : signature

Main theorem

Theorem 1 (Ishida-Matsuo-N.,'14)

Let M be a compact minimal Kähler surface, $b_+ \geq 2$, $c_1^2(M) \geq 0$.

Let $Z = Z_1 \# \cdots \# Z_k$ such that

$$Z_i = S^2 \times \Sigma \quad \text{or} \quad S^1 \times Y^3 \quad \text{or} \quad N$$

with $g(\Sigma) > 0$, $\mathcal{Y}(N) \geq 0$, $b_+(N) = 0$. (Ex. $N = \overline{\mathbb{CP}^2}$)

Then $\mathcal{Y}(M \# Z) = \mathcal{Y}(M) = -4\sqrt{2}\pi\sqrt{c_1^2(M)}$.

- ▶ The proof uses the Pin⁻(2)-monopole equations.
(Cf. SW equations = U(1)-monopole equations)
- ▶ If $\exists Z_i = S^2 \times \Sigma$ or $S^1 \times Y$ s.t $b_1(Y) \geq 1$ ($b_+(Z_i) \geq 1$)
 \Rightarrow SW inv. $\text{SW}^{\text{U}(1)}(M \# Z) \equiv 0$.
But Pin⁻(2)-monopole inv. $\text{SW}^{\text{Pin}^-(2)}(M \# Z) \not\equiv 0$.

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- ▶ Non-vanishing theorem

Yamabe invariants

Reference

[K. Akutagawa] *Yamabe invariants*, Sugaku, 66-1(2014), 31–60.

- ▶ M : closed oriented connected n -manifold ($n \geq 3$)
- ▶ $\mathcal{M}(M) =$ the space of Riemannian metrics on M .

(Normalized) Einstein-Hilbert functional

$$E: \mathcal{M}(M) \rightarrow \mathbb{R}, \quad g \mapsto \frac{\int_M s_g d\mu_g}{V_g^{\frac{n-2}{n}}}$$

- ▶ Critical points of E are Einstein metrics.
- ▶ $\inf_g E(g) = -\infty$, $\sup_g E(g) = +\infty$.

Yamabe constant

- ▶ [Fact] For any conformal class $[g]$, $E|_{[g]}$ is bounded below.

Yamabe constant $Y(M, [g]) = \inf_{h \in [g]} E(h)$

Theorem (Yamabe, Trudinger, Aubin, Schoen, . . .)

\forall compact manifold M , \forall conformal class $[g]$, $\exists h_0 \in [g]$ s.t.

$$E(h_0) = \inf_{h \in [g]} E(h) = Y(M, [g]).$$

- ▶ h is called the **Yamabe metric**. Then

$$s_h = Y(M, [g]) \cdot V_h^{-\frac{2}{n}} \leftarrow \text{const.}$$

Yamabe invariant

- ▶ [Fact] A critical of E (which is Einstein) is a saddle point.
→ Try min-max!

Definition (O. Kobayashi, Schoen, around '85)

$$\mathcal{Y}(M) = \sup_{[g] \in \mathcal{C}(M)} Y(M, [g]) = \sup_{[g] \in \mathcal{C}(M)} \left(\inf_{h \in [g]} E(h) \right)$$

- ▶ If $C \in \mathcal{C}(M)$ attains the sup, and $\mathcal{Y}(M) \leq 0$,
 \Rightarrow the Yamabe metric of C is Einstein.
- ▶ But the sup is not necessarily attained in general.

Properties

- ▶ **Aubin's inequality** $\forall M^n, \mathcal{Y}(M) \leq \mathcal{Y}(S^n) = \mathcal{Y}(S^n, [h_0]).$
- ▶ $\mathcal{Y}(M)$ is a diffeomorphism invariant.
- ▶ $n = 3 \Rightarrow \mathcal{Y}(M)$ is a topological invariant.
- ▶ $n \geq 4 \Rightarrow \mathcal{Y}(M)$ depends on differential structures.

Ex. ▶ $\mathcal{Y}(\mathbb{CP}^2 \# 9\overline{\mathbb{CP}}^2) > 0$
▶ $\mathcal{Y}(\text{Dolgachev surface}) = 0$
▶ $\mathbb{CP}^2 \# 9\overline{\mathbb{CP}}^2 \underset{\text{homeo}}{\cong} (\text{Dolgachev surface}).$

- ▶ $\mathcal{Y}(M) > 0 \Leftrightarrow M$ admits a positive scalar curvature (PSC) metric.
- If $\mathcal{Y}(M) > 0 \Rightarrow$ the ordinary & stable cohomotopy SW and $\text{Pin}^-(2)$ -monopole invariants vanish.

Yamabe invariants of 4-manifolds

- ▶ $\mathcal{Y}(S^4) = Y(S^4, [h_0]) = 8\sqrt{6}\pi$

- ▶ [LeBrun, '96, '99]

For a compact minimal Kähler surface M , $b_+ \geq 2$, $c_1^2(M) \geq 0$,

$$\mathcal{Y}(M) = \mathcal{Y}(M \# k \overline{\mathbb{CP}}^2) = -4\sqrt{2}\pi \sqrt{c_1^2(M)}.$$

- ▶ [LeBrun, '99]

For a compact Kähler surface M

$$\begin{cases} \mathcal{Y}(M) > 0 & \Leftrightarrow \text{Kod}(M) = -\infty \\ \mathcal{Y}(M) = 0 & \Leftrightarrow \text{Kod}(M) = 0, 1 \\ \mathcal{Y}(M) < 0 & \Leftrightarrow \text{Kod}(M) = 2 \end{cases}$$

► [Ishida-LeBrun,'03]

For compact Kähler surfaces M_i ($i = 1, 2, 3, 4$) s.t.
 $b_1(M_i) = 0$ + some conditions,

$$\mathcal{Y}(\#_{i=1}^k M_i) = \mathcal{Y}(\#_{i=1}^k M_i \# k\overline{\mathbb{CP}}^2) = -4\sqrt{2}\pi \sqrt{\sum_{i=1}^k c_1^2(M_i)}.$$

The proof uses the stable cohomotopy SW.

► [Sasahira,'06]

$M_1, M_2, M_3 = K3$ or $\Sigma_g \times \Sigma_h$ (g, h :odd)

$$\mathcal{Y}(\#_{i=1}^k M_i) = \mathcal{Y}(\#_{i=1}^k M_i \# k\overline{\mathbb{CP}}^2) = -4\sqrt{2}\pi \sqrt{\sum_{i=1}^k c_1^2(M_i)}.$$

The proof uses the spin bordism SW.

[Sung, '09]

- ▶ M : a compact Kähler s.t. $\text{Kod}(M) \geq 0$
 N : $b_+(N) = 0$ & $\mathcal{Y}(N) \geq 0$

$$\mathcal{Y}(M \# N) = \mathcal{Y}(M).$$

- ▶ M, N : as above.

Let $C_1 \subset M$ & $C_2 \subset N$ be circles s.t. $[C_2] \neq 0$ in $H_1(N; \mathbb{R})$.

Let $n(C_i)$ be the tubular nbd of C_i .

Let $\tilde{M} = (M \setminus n(C_1)) \mathop{\cup}_{\partial n(C_1) = \partial n(C_2)} (N \setminus n(C_2))$.

$$\mathcal{Y}(\tilde{M}) = \mathcal{Y}(M)$$

The proof uses the (ordinary) SW.

[LeBrun, '97, Gursky-LeBrun, '98]

$k = 1, 2, 3, \quad \forall l,$

$$\mathcal{Y}(\mathbb{C}\mathbb{P}^2 \# l(S^1 \times S^3)) = \mathcal{Y}(\mathbb{C}\mathbb{P}^2) = 12\sqrt{2}\pi < \mathcal{Y}(S^4) = 8\sqrt{6}\pi$$

$$0 < \mathcal{Y}(k \mathbb{C}\mathbb{P}^2 \# l(S^1 \times S^3)) \leq 4\pi\sqrt{2k + 16} < \mathcal{Y}(S^4)$$

Proof uses

- ▶ perturbed SW eqns
- ▶ modified scalar curvature,
- ▶ conformal scaling trick,
- ▶ $\text{ind } D_A > 0 \Rightarrow \exists \Phi \text{ s.t. } D_A \Phi = 0 \text{ & Weitzenböck formula}$

Outline of the proof

Our main theorem, again.

Theorem 1 (Ishida-Matsuo-N.,'14)

Let M be a compact minimal Kähler surface, $b_+ \geq 2$, $c_1^2(M) \geq 0$.

Let $Z = Z_1 \# \cdots \# Z_k$ such that

$$Z_i = S^2 \times \Sigma \quad \text{or} \quad S^1 \times Y^3 \quad \text{or} \quad N$$

with $g(\Sigma) > 0$, $\mathcal{Y}(N) \geq 0$, $b_+(N) = 0$. (Ex. $N = \overline{\mathbb{CP}^2}$)

Then $\mathcal{Y}(M \# Z) = \mathcal{Y}(M) = -4\sqrt{2}\pi\sqrt{c_1^2(M)}$.

$$\mathcal{I}_s(M) := \inf_{g \in \mathcal{M}(M)} \int_M |s_g|^{\frac{n}{2}} d\mu_g$$

Theorem

- ▶ [Kobayashi, '90][Besson-Curtois-Gallot, '91]

$$\mathcal{I}_s(M) = \begin{cases} 0 & \text{if } \mathcal{Y}(M) \geq 0 \\ |\mathcal{Y}(M)|^{\frac{n}{2}} & \text{if } \mathcal{Y}(M) \leq 0 \end{cases}$$

- ▶ [Kobayashi, '87]

$$\mathcal{I}_s(M \# N) \leq \mathcal{I}_s(M) + \mathcal{I}_s(N)$$

For our $M \# Z = M \# Z_1 \# \cdots \# Z_k$, if $\mathcal{Y}(M \# Z) \leq 0$, then

$$\begin{aligned} |\mathcal{Y}(M \# Z)|^2 &= \mathcal{I}_s(M \# Z_1 \# \cdots \# Z_k) \\ &\leq \mathcal{I}_s(M) + \mathcal{I}_s(Z_1) + \cdots + \mathcal{I}_s(Z_k). \end{aligned}$$

- ▶ $\mathcal{I}_s(M) = 32\pi c_1^2(M)$ by [LeBrun]
- ▶ $S^2 \times \Sigma$ admits a PSC metric $\Rightarrow \mathcal{Y}(S^2 \times \Sigma) > 0$
 $\Rightarrow \mathcal{I}_s(S^2 \times \Sigma) = 0$.
- ▶ $Z_i = S^1 \times Y$ or $N \Rightarrow \mathcal{Y}(Z_i) \geq 0 \Rightarrow \mathcal{I}_s(S^1 \times N) = 0$.

$$\boxed{\mathcal{Y}(M \# Z) \leq 0 \Rightarrow |\mathcal{Y}(M \# Z)|^2 = \mathcal{I}_s(M \# Z) \leq 32\pi c_1^2(M)}$$

Now, to prove is

$$\mathcal{Y}(M \# Z) \leq 0 \quad \& \quad |\mathcal{Y}(M \# Z)|^2 = \mathcal{I}_s(M \# Z) \geq 32\pi c_1^2(M)$$

These are proved by using $\text{Pin}^-(2)$ -monopole equations

$\text{Pin}^-(2)$ -monopole equations

- ▶ Seiberg-Witten equations are defined on a Spin^c -structure.
($\text{U}(1)$ -monopole equations)

$$\text{Spin}^c(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{U}(1)$$

- ▶ $\text{Pin}^-(2)$ -monopole eqns are defined on a Spin^{c_-} -structure.

$$\text{Spin}^{c_-}(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2)$$

$\text{Spin}^{\textcolor{red}{c-}}(4)$

$$\text{Pin}^-(2) = \langle \text{U}(1), j \rangle = \text{U}(1) \cup j \text{U}(1) \subset \text{Sp}(1) \subset \mathbb{H}.$$

Two-to-one homomorphism $\text{Pin}^-(2) \rightarrow \text{O}(2)$

$$z \in \text{U}(1) \subset \text{Pin}^-(2) \mapsto z^2 \in \text{U}(1) \cong \text{SO}(2) \subset \text{O}(2)$$

$$j \mapsto \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Definition $\text{Spin}^{\textcolor{red}{c-}}(4) := \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2)$

- ▶ $\text{Spin}^{\textcolor{red}{c-}}(4)/\text{Pin}^-(2) = \text{Spin}(4)/\{\pm 1\} = \text{SO}(4)$
- ▶ $\text{Spin}^{\textcolor{red}{c-}}(4)/\text{Spin}(4) = \text{O}(2)$
- ▶ The id. compo. of $\text{Spin}^{\textcolor{c}{c-}}(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{U}(1)$
 $= \text{Spin}^{\textcolor{c}{c}}(4)$

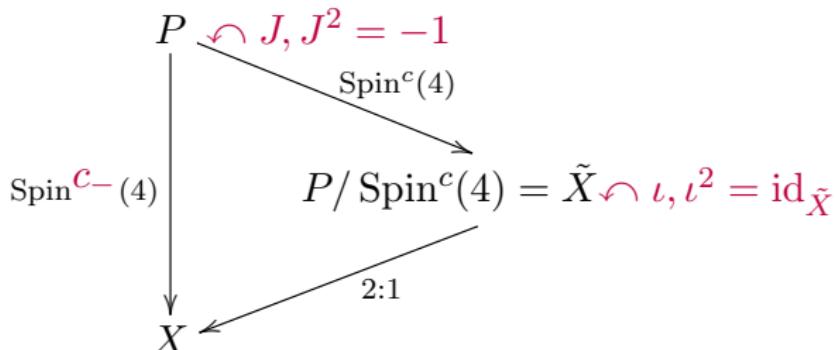
$$\text{Spin}^{\textcolor{red}{c-}}(4)/\text{Spin}^{\textcolor{c}{c}}(4) = \{\pm 1\}.$$

Spin^c-structures

- ▶ X : an oriented Riemannian 4-manifold.
→ $Fr(X)$: The $\text{SO}(4)$ -frame bundle.
- ▶ $\tilde{X} \xrightarrow{2:1} X$: (nontrivial) double covering, $\ell := \tilde{X} \times_{\{\pm 1\}} \mathbb{Z}$

[Furuta,08] A **Spin^c-structure** \mathfrak{s} on $\tilde{X} \rightarrow X$ is given by

- ▶ P : a Spin^c(4)-bundle over X ,
- ▶ $P/\text{Spin}^c(4) \xrightarrow{\cong} \tilde{X}$
- ▶ $P/\text{Pin}^-(2) \xrightarrow{\cong} Fr(X)$.
- ▶ $E = P/\text{Spin}(4) \xrightarrow{\text{O}(2)} X$: characteristic $\text{O}(2)$ -bundle.
→ ℓ -coefficient Euler class $\tilde{c}_1(E) \in H^2(X; \ell)$.
 $H^2(X; \ell) \xleftrightarrow{1:1} \{\text{O}(2)\text{-bundle } E \text{ over } X \text{ s.t. } E/\text{SO}(2) \cong \tilde{X}\}/\text{iso.}$



- ▶ $P \xrightarrow{\text{Spin}^c(4)} \tilde{X}$ defines a Spin^c -structure $\tilde{\mathfrak{s}}$ on \tilde{X}
- ▶ $J = [1, j] \in \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2) = \text{Spin}^{\textcolor{red}{c-}}(4)$.
- ▶ Involution I on the spinor bundles \tilde{S}^\pm of $\tilde{\mathfrak{s}}$:

$$\tilde{S}^\pm = P \times_{\text{Spin}^c(4)} \mathbb{H}_\pm \curvearrowleft [J, j] =: I$$

$\Rightarrow I^2 = 1$ & I is antilinear.

$\Rightarrow S^\pm = \tilde{S}^\pm / I$ are the spinor bundles for the $\text{Spin}^{\textcolor{red}{c-}}$ -str. \mathfrak{s}
 S^\pm are not complex bundles.

- ▶ Twisted Clifford multiplication

$$\rho: T^*X \otimes (\ell \otimes \sqrt{-1}\mathbb{R}) \rightarrow \text{End}(S^+ \oplus S^-)$$

- ▶ An $O(2)$ -connection A on E + Levi-Civita \Rightarrow Dirac operator

$$D_A: \Gamma(S^+) \rightarrow \Gamma(S^-)$$

- ▶ Weitzenböck formula

$$D_A^2 \Phi = \nabla_A^* \nabla_A \Phi + \frac{s_g}{4} \Phi + \frac{\rho(F_A)}{2} \Phi$$

Remark The pull-back of A to π^*E has a (canonical) $U(1)$ -reduction \tilde{A} on the determinant bundle of $\tilde{\mathfrak{s}}$.

$$D_A \cong (D_{\tilde{A}})^I : \Gamma(\tilde{S}^+)^I \rightarrow \Gamma(\tilde{S}^+)^I$$

Pin⁻(2)-monopole equations

$$\begin{cases} D_A \Phi = 0, \\ \rho(F_A^+) = q(\Phi), \end{cases}$$

where

- ▶ A : O(2)-connection on E & $\Phi \in \Gamma(S^+)$
- ▶ $F_A^+ \in \Omega^+(\ell \otimes \sqrt{-1}\mathbb{R})$
- ▶ $q(\Phi) = (\Phi^* \otimes \Phi) - \frac{1}{2}|\Phi|^2 \text{id} \in \text{End}(S^+)$

Remark

Pin⁻(2)-monopole on $X = I$ -invariant Seiberg-Witten on \tilde{X}

- ▶ Max. principle + Weitzenböck
 \Rightarrow No solution with $\Phi \not\equiv 0$ for a PSC metric.
- ▶ $b_+^\ell := \dim H_+(X; \ell \otimes \mathbb{R}) \geq 2$
 \Rightarrow Pin⁻(2)-monopole invariant $SW^{Pin^-(2)}$ is defined.
- Roughly, $SW^{Pin^-(2)} = \#\{\text{solutions with } \Phi \not\equiv 0\} \pmod{2}$

Proposition 1 $SW^{Pin^-(2)} \not\equiv 0 \Rightarrow \mathcal{Y}(M) \leq 0.$
 $(\because SW^{Pin^-(2)} \not\equiv 0 \Rightarrow$ No PSC metric $\Leftrightarrow \mathcal{Y}(M) \leq 0.)$

- ▶ Let $a \in \Omega^2(\ell \otimes \sqrt{-1}\mathbb{R})$ be the g -harmonic representative of $\tilde{c}_1(E)$.
- ▶ Decompose a into the g -self-dual & g -anti-self-dual parts:

$$a = a_+ + a_-$$

LeBrun's estimate

$$\text{SW}^{\text{Pin}^-(2)} \not\equiv 0 \Rightarrow \int_X |s_g|^2 d\mu_g \geq 32\pi^2(a_+)^2 \quad \text{for } \forall g \in \mathcal{M}(X)$$

(::) The Weitzenböck formula.

$$|D_A \Phi|^2 = 2\Delta|\Phi|^2 + 4|\nabla_A \Phi|^2 + s_g|\Phi|^2 + \langle F_A^+ \cdot \Phi, \Phi \rangle.$$

$$(A, \Phi) : \text{a solution} \Rightarrow 0 = 2\Delta|\Phi|^2 + 4|\nabla_A \Phi|^2 + s_g|\Phi|^2 + |\Phi|^4$$

$$\int |\Phi|^4 d\mu \leq \int (-s_g)|\Phi|^2 d\mu \leq \left(\int |s_g|^2 d\mu \right)^{\frac{1}{2}} \left(\int |\Phi|^4 d\mu \right)^{\frac{1}{2}}$$

$$\int |s_g|^2 d\mu \geq \int |\Phi|^4 d\mu = 8 \int |F_A^+|^2 d\mu = 32\pi^2(a_+)^2.$$

Corollary $\text{SW}^{\text{Pin}^-(2)} \not\equiv 0 \Rightarrow \mathcal{I}_s(X) \geq 32\pi^2 a_-^2$

Non-vanishing theorem [N., Ishida-Matsuo-N.]

\exists Spin c_- -structure on $M \# Z$ s.t.

- ▶ $\text{SW}^{\text{Pin}^-(2)} \not\equiv 0$
- ▶ $\forall g \quad (a_+)^2 \geq c_1^2(M)$

where a_+ is the g -self-dual part of the g -harmonic form a representing $\tilde{c}_1(E)$.

From these, we obtain

$$\mathcal{Y}(M \# Z) \leq 0 \quad \& \quad |\mathcal{Y}(M \# Z)|^2 = \mathcal{I}_s(M \# Z) \geq 32\pi c_1^2(M)$$

Theorem 1 is proved.

On the Non-vanishing theorem

M : compact Kähler,

$Z = Z_1 \# \cdots \# Z_k$ where $Z_i = S^1 \times \Sigma$ or $S^1 \times Y$ or N
s.t. $g(\Sigma) \geq 1$, $b_+(N) = 0$

Non-vanishing theorem

\exists Spin ^{c_-} -structure on $M \# Z$ s.t.

- ▶ SW^{Pin⁻(2)} $\not\equiv 0$
- ▶ $\forall g \quad (a_+)^2 \geq c_1^2(M)$

where a_+ is the g -self-dual part of the g -harmonic form a representing $\tilde{c}_1(E)$.

If $\exists Z_i = S^2 \times \Sigma$ or $S^1 \times Y$ with $b_1(Y) \geq 1$

\Rightarrow SW & Donaldson inv. of $M \# Z$ are 0 ($\because b_+(M), b_+(Z) \geq 1$.)

In general,

[Fact]

If $b_+(X), b_+(Y) \geq 1$,

\Rightarrow all of Donaldson inv & ordinary SW inv of $X \# Y$ are 0.

However, if $b_+(Y) = 0$, ordinary SW can be nontrivial.

[Fintushel-Stern, Kotschick-Morgan-Taubes, Ozsbath-Szabo,
Froyshov]

- ▶ Y with $b_+(Y) = 0$.
- ▶ X : $\text{SW}^{\text{U}(1)}(X) \neq 0$

$\Rightarrow \text{SW}^{\text{U}(1)}(X \# Y) \neq 0$.

$\text{SW}(X \# Y)$ can be calculated via gluing of solutions.
For example, assume Y has a PSC metric.

- ▶ When $\Phi \equiv 0$, SW eqn $\Leftrightarrow F_A^+ = 0$
- ▶ $b_+ > 0 \Rightarrow$ No solution on $Y \Rightarrow \text{SW}(X \# Y) = 0$
- ▶ $b_+ = 0 \Rightarrow$ No solution with $\Phi \not\equiv 0$, but \exists solution with $\Phi \equiv 0$.
 $\Rightarrow \text{SW}(X \# Y)$ can be nonzero

- ▶ $\text{Pin}^-(2)$ -monopole theory = SW theory twisted along the local coefficient ℓ associated with the Spin^{c_-} -structure.
 - ▶ It can occur that $b_+^\ell = \dim H_+(X; \ell) = 0$, even if $b_+ \neq 0$.
 - ▶ For $Z_i = S^2 \times \Sigma$ or $S^1 \times Y$, $\exists \text{Spin}^{c_-}$ -structure s.t. $b_+^\ell = 0$.
- ⇒ We can prove $\text{SW}^{\text{Pin}^-(2)}(M \# Z) \neq 0$.

For future researches...

Problem Study X with $\mathcal{Y}(X) > 0$ by $\text{Pin}^-(2)$ -monopole.

Cf. [LeBrun, Gursky-LeBrun]

$$0 < \mathcal{Y}(\mathbb{C}\mathbb{P}^2) = \mathcal{Y}(\mathbb{C}\mathbb{P}^2 \# m(S^1 \times S^3)) = 12\sqrt{2}\pi < \mathcal{Y}(S^4) = 8\sqrt{6}\pi$$

WANTED

X : admitting a PSC metric & a loc. coeff. ℓ with $b_+^\ell = 1$, $b_-^\ell = 0$.