

# $\text{Pin}^-(2)$ -monopole invariants and applications

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# Introduction

- ▶  $E(n)$ : elliptic surf. (fiber sum of  $E(1) = \mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2}$ )  
e.g.,  $E(2) = K3$ .

Fact  $\exists \infty$  many exotic structures on  $E(n)$ .

- ▶ Construction of exotic structures
  - Log transformations
  - [Fintushel-Stern] Knot surgery
- ▶ To detect exotic structures
  - Donaldson invariants
  - Seiberg-Witten invariants

# Main Theorem

## Main Theorem (N.)

For  $\forall k, g_i \geq 1$  ( $1 \leq i \leq k$ ),

$\exists \infty$  many exotic structures on  $E(n) \# (S^2 \times \Sigma_{g_1}) \# \cdots \# (S^2 \times \Sigma_{g_k})$ .

- ▶ Construction of exotic structures
  - Log transformations, Knot surgery
- ▶ To detect exotic structures
  - $\text{Pin}^-(2)$ -monopole invariants

Cf. [Wall]

Even if  $E(n)'$  is an exotic  $E(n)$ ,

$$\exists k, \quad E(n)' \# k(S^2 \times S^2) \underset{\text{diffeo.}}{\cong} E(n) \# k(S^2 \times S^2)$$

## Connected sums & Exotic structures

In general, it might **not** be **easy** to find an exotic structures on connected sums, because

[Fact]

If  $b_+(X_1), b_+(X_2) \geq 1$ ,

$\Rightarrow$  all of Donaldson inv & SW inv of  $X_1 \# X_2$  are 0.

e.g., D inv & SW inv of  $E(n) \# (S^2 \times \Sigma_g)$  are 0.

[Fintushel-Stern, Kotschick-Morgan-Taubes, Froyshov]

- ▶  $Y_1, \dots, Y_k$ :  $b_1(Y_i) = b_+(Y_i) = 0$  or  $Y_i = S^1 \times S^3$ .
- ▶  $X$ :  $\text{SW}(X) \neq 0$

$\Rightarrow \text{SW}(X \# Y_1 \# \dots \# Y_k) \neq 0$ .

$\Rightarrow \exists$  exotic str. on  $E(n) \# Y_1 \# \dots \# Y_k$ .

Our Main theorem is an analogy of this.

- For some local coefficient  $l$ ,

$$b_+^l(S^2 \times \Sigma_g) := \dim H_+(S^2 \times \Sigma_g; l) = 0.$$

- $\text{Pin}^-(2)$ -monopole = SW twisted along a local coefficient.

## More exotic connected sums

By using stable cohomotopy SW invariants ([Bauer-Furuta]),

- ▶  $\underbrace{K3\#\cdots\#K3}_k$ :  $1 \leq k \leq 4 \Rightarrow \exists \text{exotic}$
- ▶  $X = E(n_1)\#E(n_2)\#E(n_3)\#E(n_4)$   
 $n_i$ : even, and  $b_+(X) \equiv 4 \pmod{8} \Rightarrow \exists \text{exotic}$
- ▶ [Sasahira]  $M_1, M_2 = K3$  or  $\Sigma_g \times \Sigma_{g'}$  ( $g, g'$ : odd)  
 $\Rightarrow \exists \text{exotic str. on } K3\#M_1 \text{ and } K3\#M_1\#M_2.$

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# $\text{Pin}^-(2)$ -monopole equations

## $\text{Spin}^{c-}$ -structure

- ▶  $\text{Spin}^{c-}(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2)$ ,  
 $\text{Pin}^-(2) = \text{U}(1) \cup j\text{U}(1) \subset \text{Sp}(1)$
- ▶  $\text{Spin}^{c-}(4)/\text{Pin}^-(2) = \text{Spin}(4)/\{\pm 1\} = \text{SO}(4)$
- ▶  $\text{Spin}^{c-}(4) \supset \text{Spin}^c(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{U}(1)$   
 $\text{Spin}^{c-}(4)/\text{Spin}^c(4) = \{\pm 1\}$ .

Let  $X$  be a closed ori. Riemannian 4-manifold with (nontrivial) double covering  $\tilde{X} \xrightarrow{2:1} X$

### Definition

$\text{Spin}^{c-}$ -structure on  $X$  is a  $\text{Spin}^{c-}(4)$ -bundle  $P$  over  $X$  with

$$P/\text{Pin}^-(2) \xrightarrow{\cong} \text{Fr}(X), \quad P/\text{Spin}^c(4) \xrightarrow{\cong} \tilde{X}$$



## Characteristic $O(2)$ -bundle

▶  $\text{Spin}^{c-}(4)/\text{Spin}(4) = \text{Pin}^-(2)/\{\pm 1\} = O(2)$

$\Rightarrow E = P/\text{Spin}(4)$  is an  $O(2)$ -bundle

$\rightarrow$  **Characteristic  $O(2)$ -bundle**

$$\begin{array}{ccc}
 P & \xrightarrow{J, J^2 = -1} & \\
 \downarrow \text{Spin}^{c-}(4) & \searrow \text{Spin}^c(4) & \\
 & P/\text{Spin}^c(4) = \tilde{X} & \xrightarrow{\iota, \iota^2 = \text{id}_{\tilde{X}}} \\
 & \swarrow 2:1 & \\
 X & & 
 \end{array}$$

- ▶  $P \xrightarrow{\text{Spin}^c(4)} \tilde{X}$  defines a  $\text{Spin}^c$ -structure on  $\tilde{X}$
- ▶  $J = [1, j] \in \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2) = \text{Spin}^{c-}(4)$ .

A  $\text{Spin}^{c-}$ -str. on  $X$  is given by the data

- ▶ A  $\text{Spin}^c$ -structure on  $\tilde{X}$

$$(P_c \xrightarrow{\text{Spin}^c(4)} \tilde{X}, P_c/U(1) \xrightarrow{\cong} Fr(\tilde{X}))$$

- ▶ A fiber preserving diffeo.  $J: P_c \rightarrow P_c$  covering  $\iota: \tilde{X} \rightarrow \tilde{X}$  s.t.

- ▶  $J^2 = -1$

- ▶  $J(pg) = J(p)\bar{g}$ , where

$$\text{Spin}^c(4) = \text{Spin}(4) \times_{\{\pm 1\}} U(1) \ni g = [q, z] \mapsto \bar{g} = [q, z^{-1}]$$

$J$  is NOT a  $\text{Spin}^c(4)$ -bundle auto.

- ▶  $J$  induces  $\iota_*: Fr(\tilde{X}) \rightarrow Fr(\tilde{X})$

Define the action  $I$  on the spinor bundles:

$$\tilde{S}^{\pm} = P_c \times_{\text{Spin}^c(4)} \mathbb{H}_{\pm} \curvearrowright [J, j] =: I$$

$\Rightarrow I^2 = 1$  &  $I$  is **antilinear**.

$\Rightarrow S^{\pm} = \tilde{S}^{\pm}/I$  are the spinor bundles for the  $\text{Spin}^{c-}$ -str.

$S^{\pm}$  are not complex bundles.

$I$  induces an antilinear involution on  $L = \det \tilde{S}^+$ .

$\Rightarrow$  The characteristic  $O(2)$ -bundle  $E = L/I$ .

$\text{Pin}^-(2)$ -monopole on  $X = I$ -invariant Seiberg-Witten on  $\tilde{X}$

In fact,  $\mathcal{M}_{\text{Pin}} = (\mathcal{M}_{\text{SW}})^I$

## $\text{Pin}^-(2)$ -monopole equations

For  $O(2)$ -connection  $A$  on  $E$  and  $\Phi \in \Gamma(S^+)$ ,

$$\begin{cases} D_A \Phi = 0, \\ F_A^+ = q(\Phi). \end{cases}$$

## Gauge group for $\text{Pin}^-(2)$ -monopole

$$\mathcal{G} = \Gamma(\tilde{X} \times_{\{\pm 1\}} \text{U}(1))$$

where  $\{\pm 1\}$  acts on  $\text{U}(1)$  by complex conjugation.

## $\text{Pin}^-(2)$ -monopole moduli space

$$\mathcal{M} = \{\text{solutions}\} / \mathcal{G}$$

## Remark

- ▶ If  $\tilde{X} \rightarrow X$  is a trivial covering  
 $\Rightarrow P \xrightarrow{\text{Spin}^{c-}(4)} X$  of a  $\text{Spin}^{c-}$ -str.  $c$  is reduced to a  $\text{Spin}^c(4)$ -bundle  
 $\rightarrow \text{Spin}^c$ -structure  $c'$  on  $X$   
 $\Rightarrow \text{Pin}^-(2)$ -monopole on  $c = \text{SW on } c'$

- ▶ Conversely, if it is given a  $\text{Spin}^c$ -structure

$$(P_c \xrightarrow{\text{Spin}^c(4)} X, P_c/U(1) \cong Fr(X))$$

$\Rightarrow P_c \times_{\text{Spin}^c(4)} \text{Spin}^{c-}(4)$  gives a  $\text{Spin}^{c-}$ -str. on the trivial double covering  $\tilde{X} \rightarrow X$ .

- ▶ The  $\mathcal{G}$ -action on  $(A, \Phi)$  with  $\Phi \neq 0$  is free.  $\rightarrow$  irreducible
- ▶  $\tilde{X}$ : nontrivial  $\Rightarrow$  the stabilizer of  $(A, \Phi \equiv 0)$  is  $\{\pm 1\}$ .  $\rightarrow \{\pm 1\}$ -reducible
- $\tilde{X}$ : trivial  $\Rightarrow$  the stabilizer of  $(A, \Phi \equiv 0)$  is  $S^1$ .  $\rightarrow S^1$ -reducible
- ▶ Let  $l := \tilde{X} \times_{\{\pm 1\}} \mathbb{Z}$ .  
If  $b_+^l = \dim H^+(X; l) \geq 1 \Rightarrow$  by perturbing the eqns
  - ▶  $\mathcal{M}$  contains **no** reducible,
  - ▶  $\mathcal{M}$  is a finite dimensional compact manifold.
  - ▶  $\tilde{X}$ : nontrivial  $\Rightarrow \mathcal{M}$  may be nonorientable.

$\tilde{X}$ : nontrivial  $\Rightarrow$

$$\mathcal{M} \subset ((\Gamma(S^+) \setminus 0) \times \{\text{connections on } E\}) / \mathcal{G} \underset{\text{h.e.}}{\simeq} \mathbb{RP}^\infty \times T^{b_1}$$

$\tilde{X}$ : trivial  $\Rightarrow$

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Suppose  $\tilde{X}$ : nontrivial

$H^*(\mathbb{R}P^\infty \times T^{b_1^l}; \mathbb{Z}_2) = \mathbb{Z}_2[\eta] \otimes \wedge \mathbb{Z}_2^{b_1^l}$ , where  $\eta$ : generator of  $H^1(\mathbb{R}P^\infty)$ .

$\text{Pin}^-(2)$ -monopole invariants

Define  $\text{SW}^{\text{Pin}^-}: \mathbb{Z}_2[\eta] \otimes \wedge \mathbb{Z}_2^{b_1^l} \rightarrow \mathbb{Z}_2$  by

$$\text{SW}^{\text{Pin}^-}(\eta^k \otimes t) = \langle \eta^k \otimes t, [\mathcal{M}] \rangle.$$

$\tilde{X}$ : trivial  $\Rightarrow$  May assume  $\text{SW}^{\text{Pin}^-} = \text{SW}$ .



## Theorem 1

- ▶  $X$ : 4-manifold, for a  $\text{Spin}^c$ -str.  $c'_1$ , SW-inv is **odd**.  
Let  $c_1$  be the  $\text{Spin}^{c-}$ -str. associated to  $c'_1$
- ▶  $Y$ : 4-manifold with double covering  $\tilde{Y}$ , s.t.
  - ▶  $\exists$  positive scalar curvature metric
  - ▶  $\exists \text{Spin}^{c-}$ -str.  $c_2$   
s.t.  $b_+^l = 0$  &  $\text{v-dim } \mathcal{M} = b_1^l$ , for  $l = \tilde{Y} \times_{\{\pm 1\}} \mathbb{Z}$ .  
 $\Rightarrow \mathcal{M} = \{\text{reducibles only}\} / \mathcal{G} \cong T^{b_1^l}$  & transversal

For  $c_1 \# c_2$  over  $X \# Y$ ,

$$\text{SW}^{\text{Pin}}(\eta \otimes t^{\text{top}}) \neq 0,$$

where  $t^{\text{top}}$  is the generator of  $H^{b_1^l}(T^{b_1^l})$ .

- ▶ An example of  $Y = (S^2 \times \Sigma_{g_1}) \# \cdots \# (S^2 \times \Sigma_{g_k})$ .
- ▶ Main theorem is a corollary of Theorem 1.

## Theorem 1

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Let  $c_1$  be the  $\text{Spin}^{c-}$ -str. associated to  $c'_1$
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For  $c_1 \# c_2$  over  $X \# Y$ ,

$$\text{SW}^{\text{Pin}}(\eta \otimes t^{\text{top}}) \neq 0,$$

where  $t^{\text{top}}$  is the generator of  $H^{b_1^l}(T^{b_1^l})$ .

- ▶ The virtual dimension of  $\mathcal{M}(X \# Y, c_1 \# c_2)$  is **positive**.
- ▶ The ordinary SW & stable cohomotopy SW of  $X \# Y$  are 0.  
( $\because Y$  admits a PSC metric.)

## Another application

Embedded surfaces representing a class in  $H_2(X; l)$

- ▶  $\tilde{X} \rightarrow X$ : nontrivial double covering,  $l = \tilde{X} \times_{\{\pm 1\}} \mathbb{Z}$ .

Let us consider a connected surface  $\Sigma$  s.t.

- ▶  $i: \Sigma \hookrightarrow X$ : embedding
- ▶ (The orientation coefficient of  $\Sigma$ ) =  $i^*l$

→  $\exists$  Fundamental class  $[\Sigma] \in H_2(\Sigma; i^*l)$ .

Let  $\alpha := i_*[\Sigma] \in H_2(X; l)$ , where  $i_*: H_2(\Sigma; i^*l) \rightarrow H_2(X; l)$ .

### Proposition

For  $\forall \alpha \in H_2(X; l)$ , there exists  $\Sigma$  as above.

### Remark

- ▶  $\Sigma$  may be orientable or nonorientable.

## Theorem 2 (N. 2011)

- ▶  $(X, l, \Sigma)$  as above. Suppose  $b_+^l \geq 2$ .
- ▶ Let  $[\Sigma] \in H_2(X; l)$ .  
Suppose  $[\Sigma] \cdot [\Sigma] \geq 0$ , &  $[\Sigma]$  is not a torsion.
- ▶  $c$ :  $\text{Spin}^{c-}$ -structure  $\rightarrow$  **The associated  $O(2)$ -bundle  $E$**
- ▶  $\tilde{c}$ : the  $\text{Spin}^c$ -structure on  $\tilde{X}$  induced from  $c$ .

If one of the following is nonzero

- ▶  $\text{SW}^{\text{Pin}}$  or stable cohomotopy  $\text{SW}^{\text{Pin}}$  of  $(X, c)$ ,
- ▶  $\text{SW}$  or stable cohomotopy  $\text{SW}$  of  $(\tilde{X}, \tilde{c})$ ,

then

$$-\chi(\Sigma) \geq [\Sigma] \cdot [\Sigma] + |\tilde{c}_1(E) \cdot [\Sigma]|,$$

where  $\tilde{c}_1(E) \in H^2(X; l)$  is the Euler class of  $E$  defined in  $H^2(X; l)$ , called the *twisted 1st Chern class*,

## Example

- ▶  $X = K3 \# (S^2 \times \Sigma_1) \# \cdots \# (S^2 \times \Sigma_k)$ , ( $g_i \geq 1$ ).
- ▶  $\exists c$  s.t.  $\tilde{c}_1(E) = 0$  &  $\text{SW}^{\text{Pin}}(X, c) \neq 0$ .

For  $\Sigma \hookrightarrow X$  s.t.  $[\Sigma] \in H_2(X; \mathbb{Z})$  &  $[\Sigma] \cdot [\Sigma] \geq 0$  &  $[\Sigma]$  is not a torsion,

$$-\chi(\Sigma) \geq [\Sigma] \cdot [\Sigma].$$

# Proof of Theorem 1

## Simplest case

- ▶  $X_1 = K3$ . For canonical  $\text{Spin}^c$  str.,  $\text{SW}_{K3} = \pm 1$ .  
Assume  $\mathcal{M}_{X_1} = \{ \text{Only one irreducible class} \}$
- ▶  $X_2 = S^2 \times T^2$ ,  $l \rightarrow X_2$  nontrivial  $\Rightarrow b_1^l = b_+^l = 0$   
 $\Rightarrow \mathcal{M}_{X_2} = \{ \exists^1 \{ \pm 1 \}\text{-reducible class} \}$

**Claim**  $\text{SW}_{X_1 \# X_2}^{\text{Pin}}(\eta) \neq 0$ ,  $\eta \in H^1(\mathbb{R}P^\infty)$ : generator

To prove

$$[\mathcal{M}_{X_1 \# X_2}] = [\mathbb{R}P^1] \in H_1(\mathbb{R}P^\infty)$$

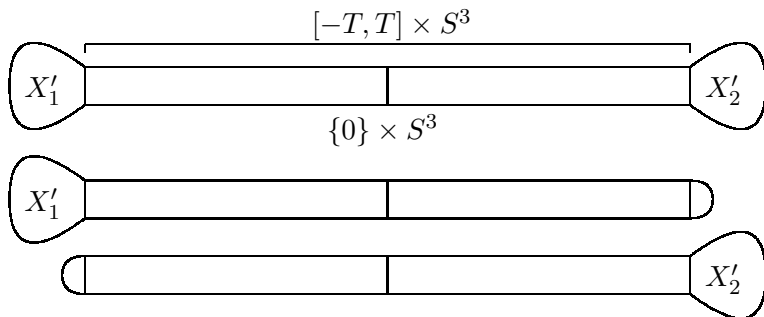
Strategy = Gluing

Make solutions on  $X_1 \# X_2$  by gluing solutions on  $X_1$  and  $X_2$ .

- ▶  $X_1 \# X_2 = X'_1 \cup_{S^3} X'_2$ , where  $X'_i = X_i \setminus D^4$ .
- ▶ Insert a long cylinder  $X'_1 \cup ([-T, T] \times S^3) \cup X'_2$ .
- ▶ Similarly,  $X_1 = X'_1 \cup ([-T, T] \times S^3) \cup D^4$ ,  
 $X_2 = D^4 \cup ([-T, T] \times S^3) \cup X'_2$ .

$T \gg 1$  For every solution  $(A, \Phi)$  on  $X_1$ ,  $X_2$  or  $X_1 \# X_2$ ,

$$(A, \Phi)|_{\{0\} \times S^3} \approx (\theta, 0) : S^1\text{-reducible on } S^3$$



- ▶ Let  $(A_i, \Phi_i)$  be a solution on  $X_i$  ( $i = 1, 2$ ).
- ▶ Cut off  $(A_i, \Phi_i)$  near  $\{0\} \times S^3$  to  $(\theta, 0) \rightarrow (A'_i, \Phi'_i)$ .

Glue  $(A'_1, \Phi'_1)$  and  $(A'_2, \Phi'_2)$  via a gluing parameter  $\rho \in \Gamma = S^1$ .  $\Rightarrow$  approximate solution  $(A'_1, \Phi'_1) \#_{\rho} (A'_2, \Phi'_2)$   
 $\Gamma = \text{stabilizer of } (\theta, 0)$

$\Rightarrow$  Can find an exact solution  $(A_{\rho}, \Phi_{\rho})$  near the approx. solution.  
 $(A_{\rho}, \Phi_{\rho})$  is unique.

## Summary

$(A_1, \Phi_1)$  &  $(A_2, \Phi_2) \Rightarrow \Gamma$ -family of solutions on  $X_1 \# X_2$

$$\{(A_{\rho}, \Phi_{\rho})\}_{\rho \in \Gamma}$$



## Proposition

$$(A_\rho, \Phi_\rho) \sim (A_{\rho'}, \Phi_{\rho'}) \Leftrightarrow [\rho] = [\rho'] \in \Gamma/(\Gamma_1 \times \Gamma_2)$$

where

- ▶  $\Gamma_i = \text{stabilizer of } (A_i, \Phi_i)$
- ▶  $\Gamma_1 \times \Gamma_2 \curvearrowright \Gamma$ : multiplication
- $(A_1, \Phi_1)$ : irreducible  $\Rightarrow \Gamma_1 = 1$
- $(A_2, \Phi_2 = 0)$ :  $\{\pm 1\}$ -reducible  $\Rightarrow \Gamma_2 = \{\pm 1\}$

$$\Rightarrow \{\mathcal{G}\text{-equiv. classes of } (A_\rho, \Phi_\rho) \mid \rho \in \Gamma\} \cong S^1/\{\pm 1\}$$

In fact,

$$\mathcal{M}_{X_1 \# X_2} \cong S^1/\{\pm 1\} \cong \mathbb{R}P^1$$