

# $\text{Pin}^-(2)$ -monopole theory II

## $\text{Pin}^-(2)$ -monopole invariants

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Dec 14, 2016

$$\begin{array}{l}
 \text{Pin}^-(2)\text{-monopole equations} \\
 \text{Cf. Seiberg-Witten equations} \\
 \text{(U(1)-monopole)}
 \end{array}
 \rightarrow
 \left\{
 \begin{array}{l}
 \text{Pin}^-(2)\text{-monopole invariants} \\
 \text{stable cohomotopy invariants} \\
 \\
 \text{Seiberg-Witten invariants} \\
 \text{stable cohomotopy invariants} \\
 \text{[Bauer-Furuta]}
 \end{array}
 \right.$$

## Applications

- ▶ Exotic structures
- ▶ Adjunction inequalities
- ▶ Calculation of the Yamabe invariants  
(j/w M. Ishida & S. Matsuo)

# Exotic structures

- ▶  $E(1) = \mathbb{C}P^2 \# 9\overline{\mathbb{C}P^2}$
- ▶  $E(n) = \underbrace{E(1) \#_f \cdots \#_f E(1)}_n \quad E(2) = K3$

## Theorem 4 (N.'15)

For  $\forall n \in \mathbb{Z}, n \geq 1$

$\exists \mathcal{S}_n$ : a set of  $\infty$ 'ly many distinct smooth structures on  $E(n)$  s.t.

for  $\forall Z := \underbrace{Z_1 \# \cdots \# Z_k}_{\text{arbitrary number}}$  where  $Z_i = \begin{cases} S^2 \times \Sigma_g & (g \geq 1) \\ S^1 \times Y^3 & (b_1(Y) \geq 1) \end{cases}$

$\forall \sigma \neq \sigma' \in \mathcal{S}_n, \Rightarrow E(n)_\sigma \not\cong E(n)_{\sigma'}$

$$E(n)_\sigma \# Z \quad \not\cong \quad E(n)_{\sigma'} \# Z \\ \text{not diffeo.}$$

## Remarks

- ▶ For  $\forall \sigma$  &  $\forall Z$ , Donaldson & SW inv of  $E(n)_\sigma \# Z = 0$
- ▶ If  $Z$  contains a component  $Z_i = S^2 \times \Sigma_g$   
 $\Rightarrow$  stable cohomotopy SW = 0
- ▶ But  $\text{Pin}^-(2)$ -monopole inv of  $E(n)_\sigma \# Z \neq 0$  for  $\infty$ 'ly many  $\sigma$
  
- ▶ [Wall]  $\forall \sigma \neq \sigma', \exists k$

$$E(n)_\sigma \# k(S^2 \times S^2) \underset{\text{diffeo.}}{\cong} E(n)_{\sigma'} \# k(S^2 \times S^2)$$

## More remarks

### Fact 1

$X_1, X_2$ : closed oriented 4-manifolds with  $b_+(X_1), b_+(X_2) \geq 1$   
 $\Rightarrow$  Donaldson & SW of  $X_1 \# X_2 = 0$

### Fact 2

$\exists W$ : closed ori. 4-mfd with  $b_+(W) = 0$  (e.g.  $\overline{\mathbb{C}P^2}, \mathbb{Q}HS^4, S^1 \times S^3$ )

$$\left\{ \begin{array}{c} \text{Donaldson} \\ \text{SW} \end{array} \right\} \text{ of } X_1 \neq 0 \Rightarrow \left\{ \begin{array}{c} \text{Donaldson} \\ \text{SW} \end{array} \right\} \text{ of } X_1 \# W \neq 0$$

- ▶  $\text{Pin}^-(2)$ -monopole = SW twisted along a local system  $\ell$ .
- ▶ For  $Z$  in Theorem 1,  $\exists \ell$  s.t.  $b_+^\ell(Z) = 0 \leftarrow b_+$  in local coefficient

## Theorem 5

$$\text{SW}^{\text{Pin}}(X_1 \# Z) \equiv \text{SW}^{\text{U}(1)}(X_1) \pmod{2}$$

where

- ▶  $\text{SW}^{\text{Pin}}(X_1 \# Z) (\in \mathbb{Z}/2)$ :  $\text{Pin}^-(2)$ -monopole invariant
- ▶  $\text{SW}^{\text{U}(1)}(X_1) (\in \mathbb{Z})$ : ordinary SW invariant

Later we will state Theorem 5 more precisely

# Calculation of Yamabe invariants

Theorem([LeBrun,'96,'99])

Let  $M$  be a compact minimal Kähler surface,  $b_+ \geq 2$ ,  $c_1^2(M) \geq 0$ .

Then

$$\mathcal{Y}(M) = \mathcal{Y}(M \# k \overline{\mathbb{C}P^2}) = -4\sqrt{2\pi} \sqrt{c_1^2(M)}.$$

- ▶ The proof uses the Seiberg-Witten equations.
- Note  $c_1^2(M) = 2\chi(M) + 3\tau(M)$ .  $\chi$ : Euler,  $\tau$ : signature

## Theorem (Ishida-Matsuo-N., '14)

Let  $M$  be a compact minimal Kähler surface,  $b_+ \geq 2$ ,  $c_1^2(M) \geq 0$ .  
Let  $Z = Z_1 \# \cdots \# Z_k$  such that

$$Z_i = S^2 \times \Sigma \quad \text{or} \quad S^1 \times Y^3 \quad \text{or} \quad N$$

with  $g(\Sigma) > 0$ ,  $\mathcal{Y}(N) \geq 0$ ,  $b_+(N) = 0$ . (Ex.  $N = \overline{\mathbb{C}P^2}$ )

Then  $\mathcal{Y}(M \# Z) = \mathcal{Y}(M) = -4\sqrt{2}\pi\sqrt{c_1^2(M)}$ .

- ▶ The proof uses  $\text{Pin}^-(2)$ -monopole equations.  
Especially,  $\text{SW}^{\text{Pin}^-(2)}(M \# Z) \stackrel{(2)}{\equiv} \text{SW}^{\text{U}(1)}(M) \neq 0$ .



# The adjunction inequality

## Theorem

- ▶  $X$ : closed ori.  $\text{Spin}^c$  4-manifold with  $b_+ \geq 2$ .
- ▶  $L$ : the determinant line bundle
- ▶  $\Sigma \subset X$ : connected embedded surface  
s.t.  $[\Sigma] \in H_2(X; \mathbb{Z})$ ,  $[\Sigma] \cdot [\Sigma] \geq 0$ .

If  $\text{SW}^{\text{U}(1)}(X) \neq 0$  or stable cohomotopy  $\text{SW}^{\text{U}(1)}(X) \neq 0$ ,

$$\Rightarrow -\chi(\Sigma) = 2g - 2 \geq [\Sigma] \cdot [\Sigma] + |c_1(L)[\Sigma]|.$$

- ▶ This is due to: [Kronheimer-Mrowka], [Fintushel-Stern], [Morgan-Szabo-Taubes], [Ozsvath-Szabo], [Furuta-Kametani-Matsue-Minami]...

## Embedded surfaces representing a class in $H_2(X; \ell)$

- ▶  $\tilde{X} \rightarrow X$ : nontrivial double covering,  $\ell = \tilde{X} \times_{\{\pm 1\}} \mathbb{Z}$ .

Consider a connected surface  $\Sigma$  s.t.

- ▶  $i: \Sigma \hookrightarrow X$ : embedding
  - ▶ (The orientation coefficient of  $\Sigma$ ) =  $i^*\ell$
- $\exists$  Fundamental class  $[\Sigma] \in H_2(\Sigma; i^*\ell)$ .  
Let  $\alpha := i_*[\Sigma] \in H_2(X; \ell)$ , where  $i_*: H_2(\Sigma; i^*\ell) \rightarrow H_2(X; \ell)$ .

### Proposition

For  $\forall \alpha \in H_2(X; \ell)$ , there exists  $\Sigma$  as above.

### Remark

- ▶  $\Sigma$  may be orientable or nonorientable.

## Theorem (N.)

- ▶  $(X, \ell, \Sigma)$  as above. Suppose  $b_+^\ell = \dim H^+(X; \ell) \geq 2$ .
- ▶ Let  $[\Sigma] \in H_2(X; \ell)$ .  
Suppose  $[\Sigma] \cdot [\Sigma] \geq 0$ , &  $[\Sigma]$  is not a torsion.
- ▶  $\mathfrak{s}$ :  $\text{Spin}^{c-}$ -structure on  $\ell \rightarrow$  **The associated  $O(2)$ -bundle  $E$**   
 $\text{SW}^{\text{Pin}}(X, \mathfrak{s}) \neq 0 \Rightarrow -\chi(\Sigma) \geq [\Sigma] \cdot [\Sigma] + |\tilde{c}_1(E) \cdot [\Sigma]|$

Recall:

- ▶ Seiberg-Witten equations are defined on a  $\text{Spin}^c$ -structure.

$$\text{Spin}^c(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{U}(1)$$

- ▶  $\text{Pin}^-(2)$ -monopole eqns are defined on a  $\text{Spin}^{c-}$ -structure.

$$\text{Spin}^{c-}(4) = \text{Spin}(4) \times_{\{\pm 1\}} \text{Pin}^-(2)$$

# $\text{Spin}^{c-}$ -structures

- ▶  $X$ : an oriented Riemannian 4-manifold.  
→  $Fr(X)$ : The  $\text{SO}(4)$ -frame bundle.
- ▶  $\tilde{X} \xrightarrow{2:1} X$ : double covering,  $\ell := \tilde{X} \times_{\{\pm 1\}} \mathbb{Z}$

[Furuta,08] A  $\text{Spin}^{c-}$ -structure  $\mathfrak{s}$  on  $\tilde{X} \rightarrow X$  is given by

- ▶  $P$ : a  $\text{Spin}^{c-}(4)$ -bundle over  $X$ ,
- ▶  $P/\text{Spin}^c(4) \xrightarrow{\cong} \tilde{X}$
- ▶  $P/\text{Pin}^-(2) \xrightarrow{\cong} Fr(X)$ .
- ▶  $E = P/\text{Spin}(4) \xrightarrow{\text{O}(2)} X$ : **characteristic  $\text{O}(2)$ -bundle**.  
→  $\ell$ -coefficient Euler class  $\tilde{c}_1(E) \in H^2(X; \ell)$ .

- ▶ Consider a trivial double cover  $\tilde{X} = X \sqcup X \rightarrow X$ .
- ▶ A  $\text{Spin}^{c-}$ -structure on  $X \sqcup X \rightarrow X$  has a **canonical reduction** to a  $\text{Spin}^c$ -structure on  $X$ .
- ▶ We call such a  $\text{Spin}^{c-}$ -structure **untwisted**.
- ▶  $\text{Spin}^{c-}$  on nontrivial  $\tilde{X}$  is called **twisted**.
- ▶ Often identify

an untwisted  $\text{Spin}^{c-}$  = its canonical reduction  $\text{Spin}^c$

Furthermore

$\text{Pin}^-(2)$ -monopole on an untwisted  $\text{Spin}^{c-}$   
 =  $\text{U}(1)$ -monopole on the canonical reduction  $\text{Spin}^c$   
 (ordinary SW)

## Theorem 5 (revisited)

$X_1$ : closed oriented 4-manifold with a  $\text{Spin}^c$  structure  $\mathfrak{s}_1$

Suppose  $b_+(X_1) \geq 2$

$Z := Z_1 \# \cdots \# Z_k$  where  $Z_i = \begin{cases} S^2 \times \Sigma_g & (g \geq 1) \\ S^1 \times Y^3 & (b_1(Y) \geq 1) \end{cases}$

$\exists \text{Spin}^{c-}$  structure  $\mathfrak{s}'$  on  $Z$  s.t.

$$\text{SW}^{\text{Pin}}(X_1 \# Z, \mathfrak{s}_1 \# \mathfrak{s}') \equiv \text{SW}^{\text{U}(1)}(X_1, \mathfrak{s}_1) \pmod{2}$$

where

- ▶  $\text{SW}^{\text{Pin}}(X_1 \# Z) (\in \mathbb{Z}/2)$ :  $\text{Pin}^-(2)$ -monopole invariant
- ▶  $\text{SW}^{\text{U}(1)}(X_1) (\in \mathbb{Z})$ : ordinary SW invariant

Below we explain the proof of Theorem 5

# Monopole map

- For simplicity, we assume  $b_1^\ell = \dim H_1(X; \ell) = 0$ .

- ▶ Fix a reference  $O(2)$ -connection  $A$  on  $E$

$$\begin{aligned}\mu: \Gamma(S^+) \times \Omega(\ell \otimes i\mathbb{R}) &\rightarrow \Gamma(S^-) \times (\Omega^+ \oplus \Omega^0)(\ell \otimes i\mathbb{R}) \\ (a, \phi) &\mapsto (D_{A+a}\phi, F_{A+a}^+ - q(\phi), d^*a)\end{aligned}$$

- ▶  $\mu$  is  $\{\pm 1\}$ -equivariant for twisted  $\text{Spin}^{c-}$   
 $U(1)$ -equivariant for untwisted  $\text{Spin}^{c-}$

- ▶  $\mu^{-1}(\text{ball}) \subset \text{ball}$

- ▶ The moduli space

- ▶  $\mathcal{M} = \mu^{-1}(0)/\{\pm 1\}$  (may be nonorientable)
- ▶  $\mathcal{M} = \mu^{-1}(0)/U(1)$  (orientable, identified with  $U(1)$ -monopole moduli)



## Finite dimensional approximation

- ▶ Decompose  $\mu = \mathcal{D} + \mathcal{Q}$  as  $\mathcal{D}$ : linear &  $\mathcal{Q}$ : quadratic
- ▶ Fix  $\lambda \gg 1$ .

$$V_\lambda = \text{Span} \left( \begin{array}{l} \text{eigenspaces of } \mathcal{D}^* \mathcal{D} \\ \text{eigenvalues} < \lambda \end{array} \right)$$

$$W_\lambda = \text{Span} \left( \begin{array}{l} \text{eigenspaces of } \mathcal{D} \mathcal{D}^* \\ \text{eigenvalues} < \lambda \end{array} \right)$$

- ▶  $p_\lambda: \Gamma(S^-) \times (\Omega^+ \oplus \Omega^0)(\ell \otimes i\mathbb{R}) \rightarrow W_\lambda$ ,  $L^2$ -projection
- ▶ Finite dim approx.  $f = \mathcal{D} + p_\lambda \mathcal{Q}: V_\lambda \rightarrow W_\lambda$
- ▶  $f$  is  $\{\pm 1\}$  (or  $U(1)$ )-equivariant, proper

$$f: \tilde{\mathbb{R}}^{x+a} \oplus \mathbb{R}^y \rightarrow \tilde{\mathbb{R}}^x \oplus \mathbb{R}^{y+b}, \quad a = \text{ind}_{\mathbb{R}} D_A, \quad b = b_+^{\ell}(X)$$

$$\{\pm 1\} \text{ acts on } \begin{cases} \tilde{\mathbb{R}} \text{ by multiplication} \\ \mathbb{R} \text{ trivially} \end{cases}$$

N.B.  $\tilde{\mathbb{R}}^{\bullet}$ : spinor part,  $\mathbb{R}^{\bullet}$ : form part

$$f: \mathbb{C}^{x+a} \oplus \mathbb{R}^y \rightarrow \mathbb{C}^x \oplus \mathbb{R}^{y+b}, \quad a = \text{ind}_{\mathbb{C}} D_A, \quad b = b_+(X)$$

$$U(1) \text{ acts on } \begin{cases} \mathbb{C} \text{ by multiplication} \\ \mathbb{R} \text{ trivially} \end{cases}$$

N.B.  $\mathbb{C}^{\bullet}$ : spinor part,  $\mathbb{R}^{\bullet}$ : form part

[Fact]  $f|_{\{0\} \oplus \mathbb{R}^y}$  is a linear inclusion

- ▶ The “moduli spaces”

$$\left. \begin{array}{l} \frac{f^{-1}(0)}{\{\pm 1\}} \subset \frac{\tilde{\mathbb{R}}^{x+a} \oplus \mathbb{R}}{\{\pm 1\}} \\ \frac{f^{-1}(0)}{U(1)} \subset \frac{\mathbb{C}^{x+a} \oplus \mathbb{R}}{U(1)} \end{array} \right\} \ni (0, c) \text{ is a quotient singularity} \\ \text{(reducible)}$$

- ▶ Suppose  $b \geq 1 \Rightarrow$  perturb  $f$  by  $v \in \text{Im} (f|_{\{0\} \oplus \mathbb{R}^y})^\perp \cong \mathbb{R}^b$   
 $\Rightarrow (f + v)^{-1}(0)$  contains no reducible

- ▶ Assume transversality

$$M(X) = \frac{(f+v)^{-1}(0)}{\{\pm 1\}} \subset \frac{(\tilde{\mathbb{R}}^{x+a} \setminus \{0\}) \oplus \mathbb{R}}{\{\pm 1\}} \underset{h.e.}{\simeq} \mathbb{RP}^\bullet$$

$$M(X) = \frac{(f+v)^{-1}(0)}{U(1)} \subset \frac{(\mathbb{C}^{x+a} \setminus \{0\}) \oplus \mathbb{R}}{U(1)} \underset{h.e.}{\simeq} \mathbb{CP}^\bullet$$

- ▶  $M(X)$  is a compact manifold
- ▶ Define

$$SW^{\text{Pin}}(X) = \langle \alpha, [M(X)]_2 \rangle \in \mathbb{Z}/2, \quad \alpha \in H^*(\mathbb{RP}^\bullet; \mathbb{Z}/2)$$

$$SW^{U(1)}(X) = \langle \alpha, [M(X)] \rangle \in \mathbb{Z}, \quad \alpha \in H^*(\mathbb{CP}^\bullet; \mathbb{Z})$$

[Remark] if  $b \geq 2 \Rightarrow SW^{\text{Pin}}$  &  $SW^{U(1)}$  are diffeomorphism inv.

## Connected sum $X_1 \# X_2$

Untwisted cases (ordinary SW)

- ▶  $f_{X_1 \# X_2}, f_{X_1}, f_{X_2}$ : finite dim approx for  $X_1 \# X_2, X_1, X_2$

[Bauer]

$$f_{X_1 \# X_2} \underset{\text{U(1)-h.e.}}{\simeq} f_{X_1} \times f_{X_2}$$

Case 1.  $b_+(X_1), b_+(X_2) \geq 1$  &  $\dim \frac{f_{X_1}^{-1}(0)}{\text{U(1)}} = \dim \frac{f_{X_2}^{-1}(0)}{\text{U(1)}} = 0$

$$\Rightarrow \dim M(X_1 \# X_2) = \dim \frac{(f_{X_1} \times f_{X_2})^{-1}(0)}{\text{U(1)}} = 1$$

$$H_1(\mathbb{C}P^\bullet) = 0 \quad \therefore \text{SW}^{\text{U(1)}}(X_1 \# X_2) = 0$$

Case 2.  $X_2 = \overline{\mathbb{C}P}^2$  ( $b_1 = 0, b_+ = 0$ ) &  $\dim \frac{f_{X_2}^{-1}(0)}{U(1)} = -1$   
 $\Rightarrow f_{X_2}^{-1}(0) = \{0\} \leftarrow$  only one reducible

$$\frac{f_{X_1 \# X_2}^{-1}(0)}{U(1)} = \frac{f_{X_1}^{-1}(0) \times \{0\}}{U(1)} = \frac{f_{X_1}^{-1}(0)}{U(1)}$$
$$\therefore SW^{U(1)}(X_1 \# \overline{\mathbb{C}P}^2) = SW^{U(1)}(X_1)$$

(Twisted # **untwisted**) case

$\tilde{X}_1 \rightarrow X_1$  : nontrivial

$\tilde{X}_2 = X_2 \sqcup X_2 \rightarrow X_2$  : trivial

e.g.  $X_1 \# X_2 = (S^2 \times T^2) \# E(n)$

- ▶  $f_{X_1}, f_{X_1 \# X_2}$ :  $\{\pm 1\}$ -equivariant
- ▶  $f_{X_2}$ : **U(1)-equivariant**  $\rightarrow$  also  $\{\pm 1\}$ -equivariant  
( $\because \{\pm 1\} \subset U(1)$ )

Proposition

$$f_{X_1 \# X_2} \underset{\{\pm 1\}\text{-h.e.}}{\simeq} f_{X_1} \times f_{X_2}$$

Suppose  $b_+^\ell(X_1) = 0$  &  $\dim \frac{f_{X_1}^{-1}(0)}{\{\pm 1\}} = 0$

$$\Rightarrow f_{X_1}^{-1}(0) = \underbrace{\{0\}}_{\text{reducible}} \cup \underbrace{\{\pm v_1\} \cup \dots \cup \{\pm v_k\}}_{\text{irreducibles}} \quad (v_i \neq 0)$$

Suppose  $b_+(X_2) \geq 1$  &  $\dim \frac{f_{X_2}^{-1}(0)}{U(1)} = 0 \Rightarrow f_{X_2}^{-1}(0) = \underbrace{S^1 \cup \dots \cup S^1}_{\text{irreducibles}}$

$$\begin{aligned} \frac{(\tilde{\mathbb{R}}^\bullet \times \mathbb{C}^\bullet) \setminus \{0\}}{\{\pm 1\}} &\supset \frac{\{0\} \times S^1}{\{\pm 1\}} \rightarrow \text{nonzero in } H_1(\mathbb{RP}^\bullet) \\ &\supset \frac{\{\pm v\} \times S^1}{\{\pm 1\}} \rightarrow \text{null-homologous} \end{aligned}$$

$$\Rightarrow \text{SW}^{\text{Pin}}(X_1 \# X_2) \stackrel{(2)}{\equiv} \text{SW}^{U(1)}(X_2)$$



## Stable cohomotopy $\text{Pin}^-(2)$ -monopole invariants

$$f: V = \tilde{\mathbb{R}}^{x+a} \oplus \mathbb{R}^y \rightarrow W = \tilde{\mathbb{R}}^x \oplus \mathbb{R}^{y+b} \quad \{\pm 1\}\text{-equiv.}, \text{ proper}$$

$$\begin{aligned} \widetilde{\text{SW}}^{\text{Pin}}(X) &:= [f^+] \in \{S^V, S^W\}^{\{\pm 1\}} \underset{\text{if } a \geq 1}{\cong} \{S^V / \{\pm 1\}, S^W\} \\ &\cong [\mathbb{R}P^{a-1}, S^{b-1}] = \pi^{a-1-d}(\mathbb{R}P^{a-1}) \end{aligned}$$

where  $d = a - b =$  the dim of the moduli sp.

$$\boxed{d = 0} \quad \pi^{a-1-d}(\mathbb{R}P^{a-1}) \cong \begin{cases} \mathbb{Z} & a : \text{even} \\ \mathbb{Z}_2 & a : \text{odd} \end{cases} \leftrightarrow H^{a-1}(\mathbb{R}P^{a-1})$$

$$[f] \mapsto \deg(f / \{\pm 1\}) = \# \left( \frac{f^{-1}(0)}{\{\pm 1\}} \right) = \text{SW}^{\text{Pin}}(X)$$

$d = 1, a: \text{ even}$

$$\pi^{a-1-d}(\mathbb{R}P^{a-1}) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$$

- ▶ By Atiyah-Hirzebruch spectral sequence,  $\exists$  surjective hom

$$\begin{aligned} \varphi: \pi^{a-1-d}(\mathbb{R}P^{a-1}) &\twoheadrightarrow H^{a-2}(\mathbb{R}P^{a-1}) \cong H_1(\mathbb{R}P^{a-1}) \cong \mathbb{Z}_2 \\ [f] &\mapsto \text{SW}^{\text{Pin}}(X) \end{aligned}$$

- ▶  $\ker \varphi \cong \mathbb{Z}_2$ .

### Theorem 3 (N.'16)

$K$ :  $K3$ ,  $E$ : Enriques surface

For  $X = K \# E \# k\overline{\mathbb{C}P}^2$  ( $a = b + 1 = 6$ ),

$$\widetilde{\text{SW}}^{\text{Pin}}(X) = [f_X] \neq 0, \text{ but } \varphi([f_X]) = \text{SW}^{\text{Pin}}(X) = 0.$$

## Corollary

For  $X = K \# E \# k\overline{\mathbb{C}P^2}$ ,

- ▶  $\exists$  exotic structures on  $X$ ,
- ▶ the adjunction inequality holds,
- ▶ the Yamabe invariant of  $X$  is 0.