

The Seiberg-Witten equations for families and diffeomorphisms of 4-manifolds

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Reference

The Seiberg-Witten equations for families and diffeomorphisms of 4-manifolds,

Asian J. Math. **7** (2003) 133-138,
Correction: Asian J. Math. **9** (2005) 185-186.

Introduction

The Seiberg-Witten equations on a family

Spin^c -structures on a family

The Seiberg-Witten equations on a family

Moduli spaces for a family

Applications to diffeomorphisms

The case when $d = b^+ = 1$

The case when $d = b^+ = 2$

Introduction

The Seiberg-Witten gauge theory

- ▶ X : a smooth closed oriented 4-manifold.
 c : a Spin^c -structure on X .
→ The Seiberg-Witten equations on c .

- ▶ The moduli space

$$\mathcal{M}_c = \{ \text{solutions to SW eqns} \} / \mathcal{G},$$

where \mathcal{G} is the gauge transformation group.

Properties of the moduli

- ▶ \mathcal{M}_c is a **compact** space.
- ▶ A generic choice of parameters (**metric & perturbation**)
→ \mathcal{M}_c becomes a finite dimensional manifold except
quotient singularities = **reducibles**.
- ▶ If no reducible, **the Seiberg-Witten invariant** can be defined
from the fundamental class of \mathcal{M}_c .

But in some cases,

reducibles play special roles.

The role of reducibles

The case when $b^+ = 0$

Donaldson's "Theorem A" can be proved by using the SW equations.

Theorem A (Donaldson)

X : definite \Rightarrow its intersection form \cong *diagonal*.

The idea of the proof by SW.

For simplicity, suppose $b_1 = 0$

If negative definite $\Rightarrow \mathcal{M}_c$ always contains exactly one reducible.

$\Rightarrow \dim \mathcal{M}_c \leq 0$.

\Rightarrow A constraint on characteristic elements.

\Rightarrow Diagonal.

[Elkies]

□

The role of reducibles

The case when $b^+ = 1$

If $b^+ \geq 1 \Rightarrow$ Can avoid reducibles by choosing *generic parameters*.

\rightarrow *Seiberg-Witten invariants*

But, if $b^+ = 1 \Rightarrow$ SW invariants depend on parameters.

The phenomenon called "Wall crossing" occurs.

- The wall crossing is used to prove the Thom conjecture by Kronheimer-Mrowka.

- ▶ $b^+ = 0 \Rightarrow$ 0-dimensional family contains a reducible.
 \Rightarrow Theorem A.
- ▶ $b^+ = 1 \Rightarrow$ 1-dimensional family may contain a reducible.
 \Rightarrow Wall crossing.
- ...
- ▶ $b^+ = k \Rightarrow$ k -dimensional family may contain a reducible.

\Rightarrow What can we conclude?

Consider d -dimensional family of a 4-manifold X :

$$\begin{array}{c} \mathbb{X} \\ \downarrow X \\ B \end{array} \leftarrow \text{a fiber bundle over a } d\text{-dim. mfd } B \text{ with fiber } X$$

- ▶ If $d < b^+(X) \Rightarrow$ can avoid reducibles.
(\therefore) The wall has codimension $= b^+(X)$ in the parameter space.
- ▶ If $d \geq b^+(X) \Rightarrow$ can **not** avoid reducibles in general.
 \rightarrow We concentrate on the case when $d = b^+(X)$.
- An argument analogous to the wall crossing
 \rightarrow Constraints on the topology of \mathbb{X} .

The case when $d = b^+ = 1$

- ▶ X : smooth, closed, oriented, $b_1 = 0$, $b^+ = 1$.
- ▶ c : Spin^c -structure s.t. $d(c) := \dim \mathcal{M}_c = 0$.
- ▶ $f: X \rightarrow X$ an ori. pres. diffeo preserving c .

Consider the mapping torus

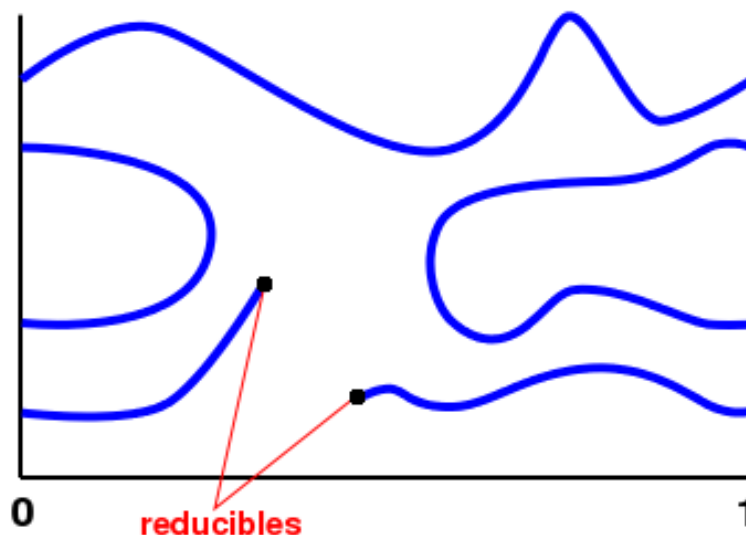
$$\begin{array}{c} X_f := X \times [1, 0] / f \\ \downarrow \\ S^1. \end{array}$$

- ▶ c induces a Spin^c -str. \tilde{c} on X_f .
→ The SW eqns on (X_f, \tilde{c}) .

A generic choice of parameters

→ \mathcal{M}_c is a compact 1-dim. manifold with boundaries.

Boundaries are reducibles.



- ▶ Note that $\#\text{reducibles}$ is **even**.
- ▶ On the other hand, $\#\text{reducibles}$ is related to the action of f on $H^+(X; \mathbb{R})$.

$$\begin{array}{c} H_f^+ := (H^+(X; \mathbb{R}) \times [0, 1]) / f \\ \downarrow \\ S^1 \end{array}$$

- ▶ Take a section $s: S^1 \rightarrow H_f^+$ s.t. $s \pitchfork (0\text{-section})$.
- ▶ We will see

$$\#\text{reducibles} \equiv s^{-1}(0) \pmod{2}.$$

$\Rightarrow H_f^+$ is a trivial bundle.

$\Rightarrow f$ preserves the orientation of $H^+(X; \mathbb{R})$.

Summary

- ▶ $X: b_1 = 0$ & $b^+ = 1$.
 - ▶ $c: \text{Spin}^c\text{-str. s.t. } \dim \mathcal{M}_c = 0$.
 - ▶ $f: X \rightarrow X$ ori. pres. diffeo. preserving c .
- $\Rightarrow f$ preserves the orientation of $H^+(X; \mathbb{R})$.

More concretely,

Theorem

- ▶ $X: b_1 = 0$ & The intersection form $\cong E_8 \oplus H$.
- ▶ $f: X \rightarrow X$ ori. pres. diffeo. s.t.
 1. f preserves a class $C \in \text{Tor } H^2(X; \mathbb{Z})$ s.t. $C \rightarrow w_2(X) \pmod{2}$,
 2. $H^1(X; \mathbb{Z}_2)^{f^*} = 0$.

$\Rightarrow f$ preserves the orientation of $H^+(X; \mathbb{R})$.

Remarks

- ▶ The conditions 1. 2. $\Rightarrow f$ preserves the Spin^c -str of C .
- ▶ This could be proved by an ordinary wall crossing argument.
- ▶ Cf. [Donaldson]
If an ori. pres diffeo of $X = K3$ acts trivially on $H^2(X; \mathbb{Z}_2)$
 \Rightarrow it preserves the ori. of $H^+(X; \mathbb{R})$.

The case when $d = b^+ = 2$

Let $B = T^2 \Rightarrow$ a constraint on **commutative** two diffeos.

(The precise statement will be given later.)

The Seiberg-Witten equations on a family

- ▶ X : an oriented closed 4-manifold, $b_1 = 0$.
- ▶ B : an oriented closed d -manifold.

$$\begin{array}{c} \mathbb{X} \\ \downarrow X \\ B \end{array} \leftarrow \text{a fiber bundle over } B \text{ with fiber } X$$

$$T(\mathbb{X}/B) = \coprod_{b \in B} TX_b \leftarrow \text{the tangent bundle along fiber}$$

$$\begin{array}{c} \mathbb{R}^4 \\ \downarrow \\ \mathbb{X} = \coprod_{b \in B} X_b \end{array}$$

- ▶ Choose a metric on $T(\mathbb{X}/B)$. Then,

$$\begin{array}{c} Fr \leftarrow \text{the frame bundle} \\ \downarrow \text{SO}(4) \\ \mathbb{X}. \end{array}$$

- ▶ A Spin^c-structure on $T(\mathbb{X}/B)$ is a lift of Fr to a Spin^c(4)-bundle \mathbb{P} :

$$\begin{array}{ccc} Fr & \longleftarrow & \mathbb{P} \\ \downarrow \text{SO}(4) & & \downarrow \text{Spin}^c(4) \\ \mathbb{X} & \xlongequal{\quad} & \mathbb{X}. \end{array}$$

- ▶ Suppose a Spin^c-str. on $T(\mathbb{X}/B)$ is given.
⇒ By restriction, we have a Spin^c-str. on each fiber X_b .

$$\begin{array}{ccc} \mathbb{P} & & P_b := \mathbb{P}|_{X_b} \\ \downarrow & \Rightarrow & \downarrow \\ \mathbb{X} & & X_b \end{array}$$

- ▶ Conversely, suppose a Spin^c-str. c on X is given.
Want to construct a Spin^c-str. on $T(\mathbb{X}/B)$ from c .

In general ⇒ **Not possible**.
Under some conditions ⇒ **Possible**.

Proposition

Suppose $C \in H^2(X; \mathbb{Z})$ and $\mathbb{X} \rightarrow B$ satisfy the following

1. $C \mapsto w_2(X)$ and $C \in H^2(X; \mathbb{Z})^{\pi_1(B)}$.
2. $H^3(B; \mathbb{Z}) = 0$
3. The mod 2 reduction $H^2(B; \mathbb{Z}) \rightarrow H^2(B; \mathbb{Z}_2)$ is surjective.
4. $H^1(B; \mathcal{H}) = 0$, where \mathcal{H} is the Serre local system of $H^1(X; \mathbb{Z}_2)$.

$\Rightarrow \exists$ a Spin^c-str on $T(\mathbb{X}/B)$ s.t. $c_1(\det \mathbb{P}|_{X_b}) = C$.

The idea of proof

To Do = To construct an integral lift \tilde{c} of $w_2(T(\mathbb{X}/B))$.

- ▶ If $b_1 = 0$, $E_2^{p,1} = 0, \forall p$ in \mathbb{Z} -coefficient, then

$$0 \rightarrow H^2(B; \mathbb{Z}) \rightarrow H^2(\mathbb{X}; \mathbb{Z}) \rightarrow H^2(X; \mathbb{Z})^{\pi_1(B)} \rightarrow H^3(B; \mathbb{Z}).$$

- ▶ By the assumption (4) $H^1(B; \mathcal{H}) = E_2^{1,1} = 0$ in \mathbb{Z}_2 -coefficient, we have,

$$H^1(X; \mathbb{Z}_2)^{\pi_1(B)} \rightarrow H^2(B; \mathbb{Z}_2) \rightarrow H^2(\mathbb{X}; \mathbb{Z}_2) \rightarrow \text{Ker } d_3.$$

Compare these exact sequences.

The Seiberg-Witten equations on a family

- ▶ Fix a class $C \in H^2(X; \mathbb{Z})$ as in Proposition,
& fix a Spin^c-str. \tilde{c} on $T(\mathbb{X}/B)$ associated to C .

$$\begin{array}{cc}
 S^\pm : \text{posi./nega. spinor bundles.} & L : \text{the determinant line bundle.} \\
 \downarrow & \downarrow \\
 \mathbb{X} & \mathbb{X}
 \end{array}$$

- ▶ L is viewed as a family over B :

$$\begin{array}{c}
 L = \prod_{b \in B} L_b \\
 \downarrow \\
 B
 \end{array}$$

- ▶ $\mathcal{A}(L_b) := \{U(1) - \text{connections on } L_b\}$.

- ▶ The bundle of parameters:

$$\begin{array}{c}
 \Pi := \{(g_b, \mu_b) \in \text{Met}(X_b) \times \Omega^2(X_b) \mid *_g \mu_b = \mu_b\} \\
 \downarrow \\
 B
 \end{array}$$

- ▶ Choose a section $\eta: B \rightarrow \Pi$.

The Seiberg-Witten equations for $\{(A_b, \psi_b)\} \in \coprod \mathcal{A}(L_b) \times \Gamma(S_b^+)$.

$$(SW_b) \begin{cases} D_{A_b} \psi = 0, \\ F_{A_b}^{+g_b} = (\psi_b \otimes \psi_b^*)_0 + i\mu_b, \end{cases}$$

where

- ▶ $D_{A_b}: \Gamma(S_b^+) \rightarrow \Gamma(S_b^-)$, the Dirac operator,
- ▶ $F_{A_b}^{+g_b}$: the g_b -self-dual part of the curvature of A_b ,
- ▶ $(\psi_b \otimes \psi_b^*)_0$: the trace free part of $\psi_b \otimes \psi_b^* \in \Gamma(\text{End}(S_b^+))$ identified with i -valued 2-form via the Clifford multiplication.

- ▶ The gauge transformation group

$$\mathcal{G}_b := \text{Map}(X_b, S^1) \curvearrowright \mathcal{A}(L_b) \times \Gamma(S_b^+),$$

$$u_b(A_b, \psi_b) = (A_b - 2u_b^{-1} du_b, u_b \psi_b).$$

- ▶ (SW_b) is \mathcal{G}_b -equivariant.
- ▶ \mathcal{G}_b -action is **free** where $\psi \neq 0$.
 → A solution with $\psi \equiv 0$ is called a **reducible**.
 The stabilizer $\cong S^1$

▶ Note $(A_b, 0)$ reducible $\Leftrightarrow F_{A_b}^{+g_b} = i\mu_b$.

▶ The Wall \mathcal{W} ,

$$\begin{aligned} \mathcal{W} &:= \{(g_b, \mu_b) \mid (SW_b) \text{ has a reducible solution} \} \\ &= \{(g_b, \mu_b) \mid P_{+g_b}(2\pi C - \mu_b) = 0\}, \end{aligned}$$

where P_{+g_b} is the orthogonal projection to g_b -self-dual harmonic part.

▶ $\Pi \supset \mathcal{W}$: codimension = $b^+(X)$.

▶ The moduli space for the family,

$$\mathcal{M}_\eta(\mathbb{X}, \mathbb{P}) = \coprod_{b \in B} \{ \text{solutions to } (SW_b) \} / \mathcal{G}_b.$$

▶ The virtual dimension of $\mathcal{M}_\eta(\mathbb{X}, \mathbb{P})$:

$$\begin{aligned} d(C) &= 2 \operatorname{ind}_{\mathbb{C}} D_A - (1 + b^+) + d \\ &= \frac{1}{4}(C^2 - \operatorname{Sign}(X)) - 1 - b_+ + d. \end{aligned}$$

▶ If η : generic,

$\Rightarrow \mathcal{M}_\eta(\mathbb{X}, \mathbb{P}) \setminus \{\text{reducibles}\}$ becomes a $d(C)$ -dim. manifold.

- ▶ Recall the situation:

$$\begin{array}{c} \Pi \supset \mathcal{W} \leftarrow \text{codimension} = b^+(X) \\ \eta \updownarrow \\ B^d \end{array}$$

- ▶ $b_1 = 0$.
 \Rightarrow When η intersects \mathcal{W} , a reducible appears in $\mathcal{M}_\eta(\mathbb{X}, \mathbb{P})$.

$$\eta \cap \mathcal{W} \xleftrightarrow{1:1} \{\text{reducibles}\} \subset \mathcal{M}_\eta(\mathbb{X}, \mathbb{P})$$

- ▶ To see $\eta \cap \mathcal{W}$, introduce

$$\begin{array}{c} H_\eta^+ \subset \Omega^2(\mathbb{X}/B) \\ \downarrow \\ B, \end{array}$$

$$\begin{aligned} (\text{The fiber over } b \in B) &= \{g_b\text{-self-dual harmonic 2-forms}\} \\ &= H_{+g_b}^+(X_b). \end{aligned}$$

- ▶ Define the section $s_\eta: B \rightarrow H_\eta^+$ by

$$s_\eta(b) := P_{+g_b}(2\pi C - \mu_b).$$

$$\eta \cap \mathcal{W} \xleftrightarrow{1:1} s_\eta^{-1}(0)$$

Now suppose

- ▶ $d = b^+$, $\Rightarrow d(C) = 2 \operatorname{ind}_{\mathbb{C}} D_A - 1 \leftarrow \text{odd.}$
- ▶ $\eta \pitchfork \mathcal{W}$, $\Leftrightarrow s_{\eta} \pitchfork (0\text{-section})$
- ▶ η : generic. $\Rightarrow \mathcal{M}_{\eta}(\mathbb{X}, \mathbb{P}) \setminus \{\text{reducibles}\}$ is a $d(C)$ -manifold.

Note

$$\eta \cap \mathcal{W} \xleftrightarrow{1:1} s_{\eta}^{-1}(0) \xleftrightarrow{1:1} \{\text{reducibles}\}$$

Theorem

If $d(C) > 0$ & $d(C) \equiv 1 \pmod{4}$,

$$\Rightarrow \#s_{\eta}^{-1}(0) = \#\{\text{reducibles}\} \text{ is even.}$$

For the proof, we need to analyse the situation around a reducible,
→use the Kuranishi model.

The Kuranishi model

- ▶ Suppose $x = (A_0, 0)$ is a reducible solution over $b_0 \in B$.
- ▶ For simplicity, suppose $g_b = g_{b_0}$ on a small nbd $U \subset B$ of b_0 .
- ▶ The slice of \mathcal{G} -action at $x = (A_0, 0)$ is given by

$$T_x := \{(b, a, \phi) \in U \times i \ker d^* \times \Gamma(S^+) \mid \|a\| \& \|\phi\| : \text{small}\}.$$

- ▶ Let us consider the following S^1 -equiv. map:

$$\begin{aligned} \Psi: T_x &\rightarrow i\Omega^+(X) \times \Gamma(S^-), \\ (b, a, \phi) &\mapsto (F_{A_0+a}^+ - (\phi \otimes \phi^*)_0 + i\mu_b, D_{A_0+a}\phi). \end{aligned}$$

- ▶ Then

$$\Psi^{-1}(0)/S^1 \cong (\text{a nbd. of } x) \subset \mathcal{M}(\mathbb{X}, \mathbb{P}).$$

- ▶ Ψ is a non-linear Fredholm map.
⇒ The differential $D\Psi$ at 0 is written as

$$(D\Psi)_0: F \oplus V \rightarrow G \oplus W,$$

where F, G are finite dimensional subspaces, and $L := (D\Psi)_0|_V: V \rightarrow W$ is a linear isomorphism.

- ▶ Let p_G, p_W be orthogonal projections from $G \oplus W$ to G, W .
⇒ $p_W \circ (D\Psi)_0$ is surjective.
⇒ $\exists f$: a diffeo from a nbd of 0 to another nbd s.t.

$$p_W \circ \Psi \circ f = p_W \circ (D\Psi)_0,$$

by the implicit function theorem.

- ▶ Finally, Ψ can be identified locally with a map

$$\begin{aligned} \Psi': F \times V &\rightarrow G \times W, \\ (u, v) &\mapsto (\alpha(u, v), L(v)), \end{aligned}$$

where L is a linear isomorphism, and $(D\alpha)_0 = 0$.

- ▶ Then $f: F \rightarrow G, f(u) = \alpha(u, 0)$ gives a finite dim model for Ψ s.t. $\Psi^{-1}(0) \cong f^{-1}(0)$ locally. (The Kuranishi model)

- ▶ In our situation, Ψ is S^1 -equivariant, & all of constructions above can be carried out S^1 -equivariantly.

$$\Rightarrow f^{-1}(0)/S^1 \cong \Psi^{-1}(0)/S^1 \cong (\text{a nbd of } x) \subset \mathcal{M}(\mathbb{X}, \mathbb{P}).$$

- ▶ Then, explicitly, f could be:

$$f: \mathbb{R}^{b^+} \times \text{Ker } D_{A_0} \rightarrow \text{Coker } D_{A_0} \times H^+,$$

where S^1 acts on $\text{Ker } D_{A_0}$ & $\text{Coker } D_{A_0}$ by multiplication, on \mathbb{R}^{b^+} & H^+ trivially.

- ▶ $\eta \pitchfork \mathcal{W} \Rightarrow \mathbb{R}^{b^+} \times \{0\}$ is isomorphically mapped to $\{0\} \times H^+$.
- ▶ If necessary, perturb the Dirac equation,

$$\Rightarrow (\text{a nbd of } x) \cong f^{-1}(0)/S^1 \cong \mathbb{C}^k/S^1 \cong_c \mathbb{C}P^{k-1},$$

where $k = \text{ind}_{\mathbb{C}} D_{A_0}$.

Now, let us prove,

Theorem

If $d(C) > 0$ & $d(C) = 2k - 1 \equiv 1 \pmod{4}$,

$$\Rightarrow \#s_{\eta}^{-1}(0) = \#\{\text{reducibles}\} \text{ is even.}$$

Proof

- ▶ $\mathcal{M}(\mathbb{X}, \mathbb{P}) \setminus \{\text{reducibles}\}$ is a $d(C)$ -dim. manifold.
- ▶ The nbd of each reducible $\cong_c \mathbb{C}P^{(d(C)-1)/2}$.
- ▶ Remove cones from $\mathcal{M}_{\eta}(\mathbb{X}, \mathbb{P})$.

$$\Rightarrow (\text{boundary}) = \coprod \mathbb{C}P^{\frac{d(C)-1}{2}}.$$

► In the **unoriented** cobordism group Ω^{4n} , $\mathbb{C}P^{2n}$ is non-trivial.

→ When $\frac{d(C)-1}{2} = 2n$, $\Leftrightarrow d(C) \equiv 1 \pmod{4}$

#(components of boundary) is **even**.

||

#(reducibles)

||

$s_\eta^{-1}(0)$

□

Remark 1

If $H_\eta^+ \rightarrow B$ is orientable $\Rightarrow \mathcal{M}_\eta(\mathbb{X}, \mathbb{P})$ is orientable.

\Rightarrow Can refine Theorem:

If $d(C) > 0$ & $d(C) \equiv 1 \pmod{4} \Rightarrow \#s_\eta^{-1}(0) = 0$.

Remark 2

When $d(C) > 0$ & $d(C) \equiv 3 \pmod{4}$, Theorem holds in some cases.

$$\mathcal{B}_b^* := [\mathcal{A}(L_b) \times (\Gamma(S_b^+) \setminus \{\psi_b \equiv 0\})] / \mathcal{G}_b.$$

- ▶ If $b_1 = 0 \Rightarrow \mathcal{B}_b^* \simeq \mathbb{C}P^\infty \Rightarrow \exists U \in H^2(\mathcal{B}_b; \mathbb{Z})$.
- ▶ If U has a lift $\tilde{U} \in H^2(\coprod \mathcal{B}_b^*) \Rightarrow$ **Theorem holds.**
 (\because) A component of the boundary is $\mathbb{C}P^{2k+1}$.
 Evaluate $[\mathbb{C}P^{2k+1}]$ by \tilde{U}^{2k+1} . □

Applications to diffeomorphisms

- ▶ The case when $d = b^+ = 1$.
- ▶ The case when $d = b^+ = 2$.

The case when $d = b^+ = 1$

Theorem

- ▶ X : closed, oriented, $b_1 = 0$.
- ▶ The intersection form $\Psi_X \cong E_8 \oplus H$.
 $\Rightarrow \exists C \in \text{Tor } H^2(X; \mathbb{Z})$ s.t. $C \mapsto w_2(X) \pmod{2}$.
- ▶ $f: X \rightarrow X$ ori. pres. diffeo. s.t.
 1. f preserves a class $C \in \text{Tor } H^2(X; \mathbb{Z})$ s.t. $C \rightarrow w_2(X) \pmod{2}$,
 2. $H^1(X; \mathbb{Z}_2)^{f^*} = 0$.

$\Rightarrow f$ preserves the orientation of $H^+(X; \mathbb{R})$.

Proof

- ▶ Consider the mapping torus:

$$\mathbb{X} := (X \times [0, 1]) / f \rightarrow S^1$$

- ▶ By 1 and 2, $\exists \text{Spin}^c$ -str. \tilde{c} on \mathbb{X} s.t. $c_1(\det \mathbb{P}|_X) = C$.
 \Rightarrow The SW-moduli for \tilde{c} .

$$d(C) = \frac{1}{4}(C^2 - \text{Sign}(X)) - 1 = \frac{1}{4}(0 - (-8)) - 1 = 1.$$

- ▶ By Theorem, $\#s_\eta^{-1}(0) \equiv 0 \pmod{2}$.
- ▶ If f reverse the ori. of H^+
 $\Rightarrow H_\eta^+ \rightarrow S^1$ is a nontrivial \mathbb{R} -bundle. \Rightarrow A Contradiction. \square

Remark

If $X =$ Enriques surface, $\Rightarrow \Psi_X \cong E_8 \oplus H$.

But **No** diffeo can satisfy 2. $H^1(X; \mathbb{Z}_2)^{f^*} = 0$. (\therefore) $H^1(X; \mathbb{Z}_2) \cong \mathbb{Z}_2$.

Corollary

X : Enriques,

N : a rational homology 4-sphere s.t. $H^1(N; \mathbb{Z}_2) \neq 0$.

$X' = X \# N$.

\Rightarrow No diffeo $f: X' \rightarrow X'$ satisfying the following at the same time:

1. f preserves a class $C \in \text{Tor } H^2(X; \mathbb{Z})$ s.t. $C \mapsto w_2(X) \text{ mod } 2$,
2. $H^1(X; \mathbb{Z}_2)^{f^*} = 0$.
3. f reverses the orientation of $H^+(X; \mathbb{R})$.

The case when $d = b^+ = 2$

Theorem

X : $b_1 = 0$ & $\Psi_X \cong E_8 \oplus H \oplus H$.

$f, g: X \rightarrow X$, ori. pres. diffeos s.t. $f \circ g = g \circ f$.

\Rightarrow The following can not hold at the same time:

1. f and g preserve a class $C \in \text{Tor } H^2(X; \mathbb{Z})$ s.t. $C \mapsto w_2(X)$.
2. $f^*, g^*: H^1(X; \mathbb{Z}_2) \rightarrow H^1(X; \mathbb{Z}_2)$,

$$\text{rank} \begin{pmatrix} \text{Id} - g^* \\ -(\text{id} - f^*) \end{pmatrix} = \dim H^1(X; \mathbb{Z}_2).$$

3. \exists positive definite subspace $H^+ \subset H^2(X; \mathbb{R})$ which decomposes $H^+ \cong \mathbb{R} \oplus \mathbb{R}$ on which

$$f^* = (-1) \oplus (+1),$$

$$g^* = (+1) \oplus (-1).$$

Proof

- ▶ Let us consider the “double” mapping torus:

$$\begin{array}{c} \mathbb{X} := (X \times [0, 1] \times [0, 1]) / f, g \\ \downarrow \\ T^2. \end{array}$$

- ▶ If 1. & 2., $\Rightarrow \exists \text{Spin}^c\text{-str. } \tilde{c} \text{ on } \mathbb{X}.$
 \Rightarrow The SW-moduli for \tilde{c} .
- ▶ $d(C) = 1 \therefore \#s_\eta^{-1}(0) \equiv 0 \pmod{2}.$

- ▶ If 3. holds, then

$$\begin{array}{ccc} H_\eta^+ & \xlongequal{\quad} & \pi_1^* E \oplus \pi_2^* E \\ \downarrow & & \downarrow \\ T^2 & \xlongequal{\quad} & S^1 \times S^1, \end{array}$$

where

- ▶ $\pi_i: S^1 \times S^1 \rightarrow S^1$, the i -th projection,
 - ▶ $E \rightarrow S^1$, a nontrivial \mathbb{R} -bundle.
- $\therefore w_2(H_\eta^+) \neq 0. \rightarrow$ Contradicts with $\#s_\eta^{-1}(0) \equiv 0 \pmod{2}.$

□

Remark

In this case, the fiberwise dimension of the moduli is -1 .

Question

How about the following cases?

- ▶ $d = b^+ = 2$ & $B \neq T^2$.
- ▶ $d = b^+ \geq 3$.
- ▶ $d > b^+$.
- ▶ $b_1 > 0$.